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*Colon***Colon Cancer KH-1 and N3 Antigens**

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This application is based on U.S. Provisional Application Serial No. 60/034,950, filed January 13, 1997, the contents of which are hereby incorporated by reference into this application. This invention was made with government support under grants CA-28824-18, GM-15240-02, GM-16291-01, HL-25848-14 and AI-16943 from the National Institutes of Health. Accordingly, the U.S. Government has certain rights in the invention.

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Throughout this application, citations for various publications are provided. The disclosures of these publications are hereby incorporated in their entirety by reference into this application in order to more fully describe the state of the art to which this invention pertains.

**Field of the Invention**

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The present invention is in the field of tumor-specific cell-surface antigens. In particular, the present invention relates to processes for the preparation of KH-1 and N3 antigens and analogues thereof which are useful as anticancer therapeutics. The present invention also provides novel compositions of matter which serve as intermediates for preparing the KH-1 and N3 antigens.

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### Background of the Invention

The function of carbohydrates as structural materials and as energy storage units in biological systems is well recognized. By contrast, the role of carbohydrates as signaling molecules in the context of biological processes has only recently been appreciated. (M. L. Phillips, E. Nudelman, F. C. A. Gaeta, M. Perez, A. K. Singhal, S. Hakomori, J. C. Paulson, *Science*, 1990, 250, 1130; M. J. Polley, M. L. Phillips, E. Wagner, E. Nudelman, A. K. Singhal, S. Hakomori, J.C. Paulson, *Proc. Natl. Acad. Sci. USA*, 1991, 88, 6224; T. Taki, Y. Hirabayashi, H. Ishikawa, S. Kon, Y. Tanaka, M. Matsumoto, *J. Biol. Chem.*, 1986, 261, 3075; Y. Hirabayashi, A. Hyogo, T. Nakao, K. Tsuchiya, Y. Suzuki, M. Matsumoto, K. Kon, S. Ando, *ibid.*, 1990, 265, 8144; O. Hindsgaul, T. Norberg, J. Le Pendu, R. U. Lemieux, *Carbohydr. Res.*, 1982, 109, 109; U. Spohr, R.U. Lemieux, *ibid.*, 1988, 174, 211)

The elucidation of the scope of carbohydrate involvement in mediating cellular interaction is an important area of inquiry in contemporary biomedical research. The carbohydrate molecules, carrying detailed structural information, tend to exist as glycoconjugates (cf. glycoproteins and glycolipids) rather than as free entities. Given the complexities often associated with isolating the conjugates in homogeneous form and the difficulties in retrieving intact carbohydrates from

5 these naturally occurring conjugates, the applicability  
of synthetic approaches is apparent. (For recent reviews  
of glycosylation see: Paulsen, H., *Angew Chem. Int. Ed.*  
*Engl.*, 1982, 21, 155; Schmidt, R. R., *Angew. Chem. Int.*  
*Ed. Engl.*, 1986, 25, 212; Schmidt, R. R., *Comprehensive*  
10 *Organic Synthesis*, Vol. 6, Chapter 1(2), Pergamon Press,  
Oxford, 1991; Schmidt, R. R., *Carbohydrates, Synthetic*  
*Methods and Applications in Medicinal Chemistry, Part I*,  
Chapter 4, VCH Publishers, Weinheim, New York, 1992. For  
the use of glycals as glycosyl donors in glycoside  
15 synthesis, see Lemieux, R. U., *Can. J. Chem.*, 1964, 42,  
1417; Lemieux, R. U., Faser-Reid, B., *Can. J. Chem.*,  
1965, 43:1460; Lemieux, R. U., Morgan, A. R., *Can. J.*  
*Chem.*, 1965, 43, 2190; Thiem, J., Karl, H., Schwentner,  
J., *Synthesis*, 1978, 696; Thiem, J. Ossowski, P.,  
20 *Carbohydr. Chem.*, 1984, 3, 287; Thiem, J., Prahst, A.,  
Wendt, T. *Liebigs Ann. Chem.*, 1986, 1044; Thiem, J., in  
*Trends in Synthetic Carbohydrate Chemistry*, Horton, D.,  
Hawkins, L. D., McGarvey, G. L., eds., ACS Symposium  
Series #386, American Chemical Society, Washington, DC,  
25 1989, Chapter 8.)

The carbohydrate domains of the blood group  
substances contained in both glycoproteins and  
glycolipids are distributed in erythrocytes, epithelial  
cells and various secretions. The early focus on these  
30 systems centered on their central role in determining  
blood group specificities. (R. R. Race and R. Sanger,  
*Blood Groups in Man*, 6th ed., Blackwell, Oxford, 1975)  
However, it is recognized that such determinants are

5 broadly implicated in cell adhesion and binding  
phenomena. (For example, see M. L. Phillips, E. Nudelman,  
F. C. A. Gaeta, M. Perez, A. K. Singhal, S. Hakomori, J.  
C. Paulson, *Science*, 1990, 250:1130.) Moreover,  
ensembles related to the blood group substances in  
10 conjugated form are encountered as markers for the onset  
of various tumors. (K. O. Lloyd, *Am. J. Clinical Path.*,  
1987, 87, 129; K. O. Lloyd, *Cancer Biol.*, 1991, 2:421)  
Carbohydrate-based tumor antigenic factors might find  
applications at the diagnostic level, as resources in  
15 drug delivery or ideally in immunotherapy. (Toyokuni, T.,  
Dean, B., Cai, S., Boivin, D., Hakomori, S., and Singhal,  
A. K., *J. Am. Chem Soc.*, 1994, 116, 395; Dranoff, G.,  
Jaffee, E., Lazenby, A., Golumbek, P., Levitsky, H.,  
Brose, K., Jackson, V., Hamada, H., Paardoll, D.,  
20 Mulligan, R., *Proc. Natl. Acad. Sci. USA*, 1993, 90, 3539;  
Tao, M. H., Levy, R., *Nature*, 1993, 362, 755; Boon, T.,  
*Int. J. Cancer*, 1993, 54, 177; Livingston, P. O., *Curr.*  
*Opin. Immunol.*, 1992, 4, 624; Hakomori, S., *Annu. Rev.*  
*Immunol.*, 1984, 2, 103; K. Shigeta, et al., *J. Biol.*  
25 *Chem.*, 1987, 262, 1358)

The use of synthetic carbohydrate conjugates to  
elicit antibodies was first demonstrated by Goebel and  
Avery in 1929. (Goebel, W. F., and Avery, O. T., *J. Exp.*  
*Med.*, 1929, 50, 521; Avery, O. T., and Goebel, W. F., *J.*  
30 *Exp. Med.*, 1929, 50, 533.) Carbohydrates were linked to  
carrier proteins via the benzenediazonium glycosides.  
Immunization of rabbits with the synthetic antigens  
generated polyclonal antibodies. Other workers (Allen,

5 P. Z., and Goldstein, I. J., *Biochemistry*, 1967, 6, 029;  
Rude, E., and Delius, M. M., *Carbohvdr. Res.*, 1968, 8,  
219; Himmelsbach, K., et al., *Eur. J. Immunol.*, 1971, 1,  
106; Fielder, R. J., et al., *J. Immunol.*, 1970, 105, 265)  
developed similar techniques for conjugation of  
10 carbohydrates to protein carriers. Most of them suffered  
by introducing an antigenic determinant in the linker  
itself, resulting in generation of polyclonal antibodies.  
Kabat (Arakatsu, Y., et al., *J. Immunol.*, 1966, 97, 858),  
and Gray (Gray, G. R., *Arch. Biochem. Bioshys.*, 1974,  
15 163, 426) developed conjugation methods that relied on  
oxidative or reductive coupling, respectively, of free  
reducing oligosaccharides. The main disadvantage of  
these techniques, however, is that the integrity of the  
reducing end of the oligosaccharide was compromised. In  
20 1975 Lemieux described the use an 8-carbomethoxy-1-  
octanol linker (Lemieux, R. U., et al., *J. Am. Chem.*  
*Soc.*, 1975, 97, 4076) which alleviated the problem of  
linker antigenicity and left the entire oligosaccharide  
intact. Equally effective in producing glycoconjugates  
25 was the allyl glycoside method described by Bernstein and  
Hall. (Bernstein, M. A., and Hall, L. D., *Carbohydr.*  
*Res.*, 1980, 78, Cl.) In this technique the allyl  
glycoside of the deblocked sugar is ozonized followed by  
a reductive workup. The resultant aldehyde is then  
30 reductively coupled to a protein carrier with sodium  
cyanoborohydride.

In the mid-70's and early 80's Lemieux and his  
collaborators made contributions to antibody production

5 stimulated by synthetic glycoconjugates (Lemieux, R. U.,  
et al., *J. Am. Chem. Soc.*, 1975, 97, 4076) and to  
conformational issues (Lemieux, R. U., et al., *Can. J.*  
*Chem.*, 1979, 58, 631; Spohr, U., et al., *Can. J. Chem.*,  
10 1985, 64, 2644; Vandonselaar, M., et al., *J. Biol. Chem.*,  
1987, 262, 0848) important in the interactions of the  
blood group determinants (and analogues thereof) with  
the carbohydrate binding proteins known as lectins. More  
recently, workers at Bristol-Myers Squibb reported the X-  
ray crystal structure of the Lewis y epitope complexed  
15 with the antibody BR96. (Jeffrey, P. D., et al., *Nature*  
*Structural Biol.*, 1995, 2, 466.) Two main components  
appear to govern recognition between carbohydrates and  
most antibodies. The first is multiple hydrogen bonding  
between the sugar hydroxyls and the amino acid residues  
20 of Asp, Asn, Glu, Gln, and Arg. The second major  
interaction is stacking between the sugar-ring faces and  
aromatic side chains, which occurs most frequently with  
tryptophan. In the complex with BR96 the most  
significant interactions involve the latter; additional  
25 hydrogen bonding occurs between the sugar hydroxyls and  
the indole nitrogens. Most antibody binding sites can  
support about 6 linear carbohydrate residues in a groove  
or cavity shaped binding site.

Glycoconjugates may be used in direct  
30 immunotherapy or the monoclonal antibodies generated from  
vaccinations may be used to specifically target known  
chemotherapeutic agents to tumor sites. The immune  
response to carbohydrates is generally not strong,

5 resulting mainly in production of IgM type antibodies.  
IgM antibodies are capable of complement fixation.  
Complement is a family of enzymes that can lyse cells to  
which antibodies are bound. The response to carbohydrate  
10 antigens normally does not enlist the use of T-cells  
which would aid in the body's rejection of the tumor.  
While the probability of complete tumor rejection as a  
result of vaccination with a conjugate is unlikely, such  
treatments will boost immune surveillance and recurrence  
of new tumor colonies can be reduced. (Dennis, J., *Oxford*  
15 *Glycosystems Glyconews Second, 1992*; Lloyd, K. O., in  
*Specific Immunotherapy of Cancer with Vaccines, 1993*, New  
York Academy of Sciences, 50-58.) Toyokuni and Singhal  
have described a synthetic glycoconjugate (Toyokuni, T.,  
et al., *J. Am. Chem. Soc., 1994, 116, 395*) that  
20 stimulated a measurable IgG titer, a result which is  
significant since an IgG response is generally associated  
with enlistment of helper T cells.

The use of immunoconjugates has shown promise  
in the reduction of large tumor masses. The workers at  
25 Bristol-Myers Squibb (Trail, P. A., et al., *Science*,  
*1993, 261, 212*) have described the attachment of the  
known chemotherapeutic drug doxorubicin to the antibody  
BR96. BR96 is an anti-Lewis y antibody which has been  
shown to bind to human breast, lung and colon carcinomas.  
30 Athymic mice that have had human cancers (L2987-lung,  
RCA-colon, and MCF7-breast carcinomas) xenografted  
subcutaneously were treated with the drug-antibody  
conjugate (BR96-DOX). The result was complete regression

5 of the tumor mass in 78% of the mice treated. BR96 is  
efficiently-internalized by cellular lysosomes and  
endosomes following attachment to the cell surface. The  
change in pH upon internalization results in cleavage of  
the labile hydrazone thereby targeting the drug  
10 specifically to the desired site.

Many of the blood group determinant structures  
can also occur in normal tissues. Antigen expression in  
normal cells and cancer cells can have subtle  
distributional differences. In the case of Le y, which  
15 does appear in normal tissues, the expression of the  
determinant in tumor cells tends to be in the form of  
mucins which are secreted. Mucins are glycoproteins with  
a high content of the amino acids serine and threonine.  
It is through the hydroxyl functionality of these amino  
20 acids that Lewis y is linked. Thus, in terms of  
generating competent antibodies against tumor cells  
expressing the Le y antigen, it is important that the  
antibody recognize the mucin structure.

Structurally, the blood group determinants fall  
25 into two basic categories known as type I and type II.  
Type I is characterized by a backbone comprised of a  
galactose 1-3 $\beta$  linked to N-acetyl glucosamine while type  
II contains, instead, a 1-4 $\beta$  linkage between the same  
building blocks (cf. N-acetyl lactosamine). The position  
30 and extent of a-fucosylation of these backbone structures  
gives rise to the Lewis-type and H-type specificities.  
Thus, monofucosylation at the C4-hydroxyl of the N-acetyl  
glucosamine (Type I series) constitutes the Le a type,



5       whereas fucosylation of the C3-hydroxyl of this sugar  
      (Type II series) constitutes the Le x determinant.  
      Additional fucosylation of Le a and Le x types at the C2'  
      hydroxyl of the galactose sector specifies the Le b and  
      Le y types, respectively. The Le y determinant is  
10       expressed in human colonic and liver adenocarcinomas.  
      (Leverly, S. B., et al., *Carbohydr. Res.*, 1986, 151, 311;  
      Kim, Y. S., *J. Cellular Biochem. Suppl.*, 16G 1992, 96;  
      Kaizu, T., et al., *J. Biol. Chem.*, 1986, 261, 11254;  
      Leverly, S. B., et al., *Carbohydr. Res.*, 1986, 151, 311;  
15       Hakomori, S., et al., *J. Biol. Chem.*, 1984, 259,  
      4672; Fukushi, Y., et al., *ibid.*, 1984, 259, 4681;  
      Fukushi, Y., et al., *ibid.*, 1984, 259, 10511.)

      The presence of an  $\alpha$ -monofucosyl branch, solely  
      at the C2'-hydroxyl in the galactose moiety in the  
20       backbone, constitutes the H-type specificity (Types I and  
      II). Further permutation of the H-types by substitution  
      of  $\alpha$ -linked galactose or  $\alpha$ -linked N-acetylgalactosamine  
      at its C3'-hydroxyl group provides the molecular basis of  
      the familiar serological blood group classifications A,  
25       B, and O. (Lowe, J. B., *The Molecular Basis of Blood  
      Diseases*, Stamatoyannopoulos, et al., eds., W. B.  
      Saunders Co., Philadelphia, PA, 1994, 293.)

      Several issues merit consideration in  
      contemplating the synthesis of such blood group  
30       substances and their neoglycoconjugates. For purposes of  
      synthetic economy it would be helpful to gain relief from  
      elaborate protecting group manipulations common to  
      traditional syntheses of complex branched carbohydrates.

5 Another issue involves fashioning a determinant linked to  
a protein carrier. It is only in the context of such  
conjugates that the determinants are able to galvanize B-  
cell response and complement fixation. In crafting such  
10 spacer units between the carbohydrate determinant and the  
carrier. (Stroud, M. R., et al., *Biochemistry*, 1994, 33,  
0672; Yuen, C. T., et al., *J. Biochem.*, 1994, 269, 1595;  
Stroud, M. R., et al., *J. Biol. Chem.*, 1991, 266, 8439.)

The present invention provides new strategies  
15 and protocols for oligosaccharide synthesis. The object  
is to simplify such constructions such that relatively  
complex domains can be assembled with high stereo-  
specificity. Major advances in glycoconjugate synthesis  
require the attainment of a high degree of convergence  
20 and relief from the burdens associated with the  
manipulation of blocking groups. Another requirement is  
that of delivering the carbohydrate determinant with  
appropriate provision for conjugation to carrier proteins  
or lipids. (Bernstein, M.A., and Hall, L. D., *Carbohydr.*  
25 *Res.*, 1980, 78, C1; Lemieux, R. U., *Chem. Soc. Rev.*,  
1978, 7, 423; R. U. Lemieux, et al., *J. Am. Chem. Soc.*,  
1975, 97, 4076.) This is a critical condition if the  
synthetically derived carbohydrates are to be  
incorporated into carriers suitable for biological  
30 application.

Antigens which are selective or ideally  
specific for cancer cells could prove useful in fostering  
active immunity. (Hakomori, S., *Cancer Res.*, 1985, 45,

5 2405-2414; Feizi, T., *Cancer Surveys*, 1985, 4, 245-269)  
Novel carbohydrate patterns are often presented by  
transformed cells as either cell surface glycoproteins or  
as membrane-anchored glycolipids. In principle, well  
10 chosen synthetic glycoconjugates which stimulate antibody  
production could confer active immunity against cancers  
which present equivalent structure types on their cell  
surfaces. (Dennis, J., *Oxford GlycoSystems Glyconews*  
Second, 1992; Lloyd, K. O., in *Specific Immunotherapy of*  
15 *Cancer with vaccines*, 1993, New York Academy of Sciences  
pp. 50-58) Chances for successful therapy improve with  
increasing restriction of the antigen to the target cell.  
A glycosphingolipid was isolated by Hakomori and  
collaborators from the breast cancer cell line MCF-7 and  
immunocharacterized by monoclonal antibody MBr1.  
20 (Bremer, E. G., et al., *J. Biol. Chem.*, 1984, 259, 14773-  
14777; Menard, S., et al., *Cancer Res.*, 1983, 43, 1295--  
1300).

The compounds prepared by processes described  
herein are antigens useful in adjuvant therapies as  
25 vaccines capable of inducing antibodies immunoreactive  
with epithelial carcinomas, for example, human colon,  
lung and ovarian tumors. Such adjuvant therapies have  
potential to reduce the rate of recurrence of cancer and  
increase survival rates after surgery. Clinical trials  
30 on 122 patents surgically treated for AJCC stage III  
melanoma who were treated with vaccines prepared from  
melanoma differentiation antigen GM2 (another tumor  
antigen which like MBr1 is a cell surface carbohydrate)

5 demonstrated in patients (lacking the antibody prior to immunization) a highly significant increase in disease-free interval (P. O. Livingston, et al., *J. Clin Oncol.*, 12, 1036 (1994)).

10 The effectiveness of a vaccine derived from a tumor-associated antigens increases with the greater specificity of the carbohydrate domain of the antigen. One such antigen is the glycolipid KH-1, immunocharacterized by Hakomori et al. who have proposed its structure as 1. (Nudelman, E.; Levery, S.B.; Kaizu, T; Hakomori, S.-I., *J. Biol. Chem.*, 1986, 261, 11247. 15 Kaizu, T.; Levery, S.B.; Nudelman, E; Stenkamp, R.E.; Hakomori, S.-I, *J. Biol. Chem.*, 1986, 261, 11254; Kim, S.Y.; Yuan, M.; Itzkowitz, S.H.; Sun, Q.; Kaizu, T.; Palekar, A; Trump, B.F.; Hakamori, S.-I, *Cancer Res.*, 1986, 46, 5985.) 20

25 This antigen has been claimed to be a highly specific marker for malignancy and pre-malignancies involving colonic adenocarcinoma. The nonasaccharide character of 1 (Figure 1) is unique from a structural standpoint. The crystallographically derived presentation of the monoclonal antibody BR 96 bound to a Le<sup>y</sup> tetrasaccharide glycoside has been reported. (Jeffery, P.D.; Bajorath, J.; Chang, C.Y.; Dale, Y.; Hellstrom, I.; Hellstrom, E.K.; Sheriff, S., *Nature Structural Biology*, 1995, 2, 456.) 30 The structure of the BR96:Le<sup>y</sup> complex suggested that this antibody might also have the capacity to recognize higher order fucosylated arrays.

5                   Accordingly, the present invention relates to  
the total synthesis not only of 1 itself, but of  
congeners (cf. structure 2) which are suitable for  
conjugation to appropriate bioactive carrier systems.

10

#### Summary of the Invention

                  Therefore, one object of the present invention  
is to provide processes for the preparation of the KH-1  
15                   and N3 antigens, as well as related analogues thereof,  
useful as anticancer therapeutics.

                  Another object of the present invention is to  
provide various compounds useful as intermediates in the  
preparation of KH-1 and N3 and analogues thereof. A  
20                   further object of the present invention is to provide  
methods of preparing such intermediates.

                  An additional object of the invention is to  
provide compositions comprising any of the analogues of  
KH-1 and N3 available through the preparative methods of  
the invention and pharmaceutical carriers useful in the  
25                   treatment of subjects suffering from cancer. A further  
object of the invention is to provide methods of  
treatment of cancer using any of the analogues of KH-1  
and N3 alone or conjugated to suitable carriers as  
disclosed herein available through the preparative  
30                   methods of the invention, optionally in combination with  
pharmaceutical carriers.

Figure 1 show the structure of the cell surface antigen KH-1 ceramide and its bioconjugateable O-allyl ether form.

10

Figure 2 provides synthetic Scheme 1. Reagents: (a) (i) 3,3-dimethyldioxirane,  $\text{CH}_2\text{Cl}_2$ ; (ii) 4 or 5,  $\text{ZnCl}_2$ , THF 65% for 6 & 55% for 7; (b) (i) TESOTf,  $\text{Et}_3\text{N}$ , DMAP,  $\text{CH}_2\text{Cl}_2$ , 92%, (ii)  $\text{I(coll)}_2\text{ClO}_4$ ,  $\text{PhSO}_2\text{NH}_2$ , 4 Å molecular sieves,  $\text{CH}_2\text{Cl}_2$ , > 90%; (iii) LHMDs,  $\text{EtSH}$ , DMF > 90%; (c) (i)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$ , DMAP,  $\text{CH}_2\text{Cl}_2$ , 95%; (ii)  $\text{I(coll)}_2\text{ClO}_4$ ,  $\text{PhSO}_2\text{NH}_2$ , 4 Å molecular sieves,  $\text{CH}_2\text{Cl}_2$ , > 90%; (iii) LHMDs,  $\text{EtSH}$ , DMF (iv)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$ , DMAP,  $\text{CH}_2\text{Cl}_2$ , 85%; (d)  $\text{K}_2\text{CO}_3$ , MeOH 80%; (e) (i) MeOTf, di-*t*-butylpyridine,  $\text{Et}_2\text{O}:\text{CH}_2\text{Cl}_2$  (2:1), 4 Å MS (55%), (ii)  $\text{K}_2\text{CO}_3$ , MeOH (85%); (f) (i) MeOTf, di-*t*-butylpyridine,  $\text{Et}_2\text{O}:\text{CH}_2\text{Cl}_2$  (2:1), 4 Å MS (60%); (ii)  $\text{Ac}_2\text{O}$ , Py, DMAP,  $\text{CH}_2\text{Cl}_2$  (95%); (g) TBAF:AcOH (93%).

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Figure 3 provides synthetic Scheme 2. Reagents: (a) 14,  $\text{Sn(OTf)}_2$ , Tol:THF(10:1), 4 Å MS (60%); (b) (i) 3,3-dimethyldioxirane,  $\text{CH}_2\text{Cl}_2$ ; (ii)  $\text{EtSH}$ ,  $\text{CH}_2\text{Cl}_2$ ,  $\text{H}^+$  (cat); (iii)  $\text{Ac}_2\text{O}$ , Py,  $\text{CH}_2\text{Cl}_2$  60% (3 steps) (c) 17, MeOTf,  $\text{Et}_2\text{O}:\text{CH}_2\text{Cl}_2$  (2:1), 4 Å MS (55%); (d) (i) Lindlar's catalyst,  $\text{H}_2$ , palmitic anhydride, EtOAc, 85% (ii) Na,  $\text{NH}_3$ , THF; (MeOH quench); (iii)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$ , DMAP,  $\text{CH}_2\text{Cl}_2$  (iv) MeONa, MeOH, 70% (3 steps); (e) (i) Na,  $\text{NH}_3$ , THF; (MeOH quench); (ii)  $\text{Ac}_2\text{O}$ ,  $\text{Et}_3\text{N}$ , DMAP,  $\text{CH}_2\text{Cl}_2$ ; (iii) 3,3-

5        dimethyldioxirane,  $\text{CH}_2\text{Cl}_2$ ; (iv) Allyl Alcohol (v) MeONa,  
MeOH, 60%.

Figure 4 provides a synthetic strategy for N3 antigen.

10        Figure 5 provides a synthetic strategy for the Le x donor  
portion.

Figure 6 provides a synthetic strategy for the Le a donor  
portion.

15

Figure 7 provides a synthetic strategy for the N3  
acceptor portion.

20        Figure 8 provides a 2+2 coupling for the major N3  
antigen.

Figure 9 provides a 2+4 and 1+1 coupling for the N3  
antigen.

25        Figure 10 provides a pathway for deprotection of the  
major N3 epitope.

Figure 11 provides a synthetic strategy for the KH-1  
tetrasaccharide and hexasaccharide intermediates.

30

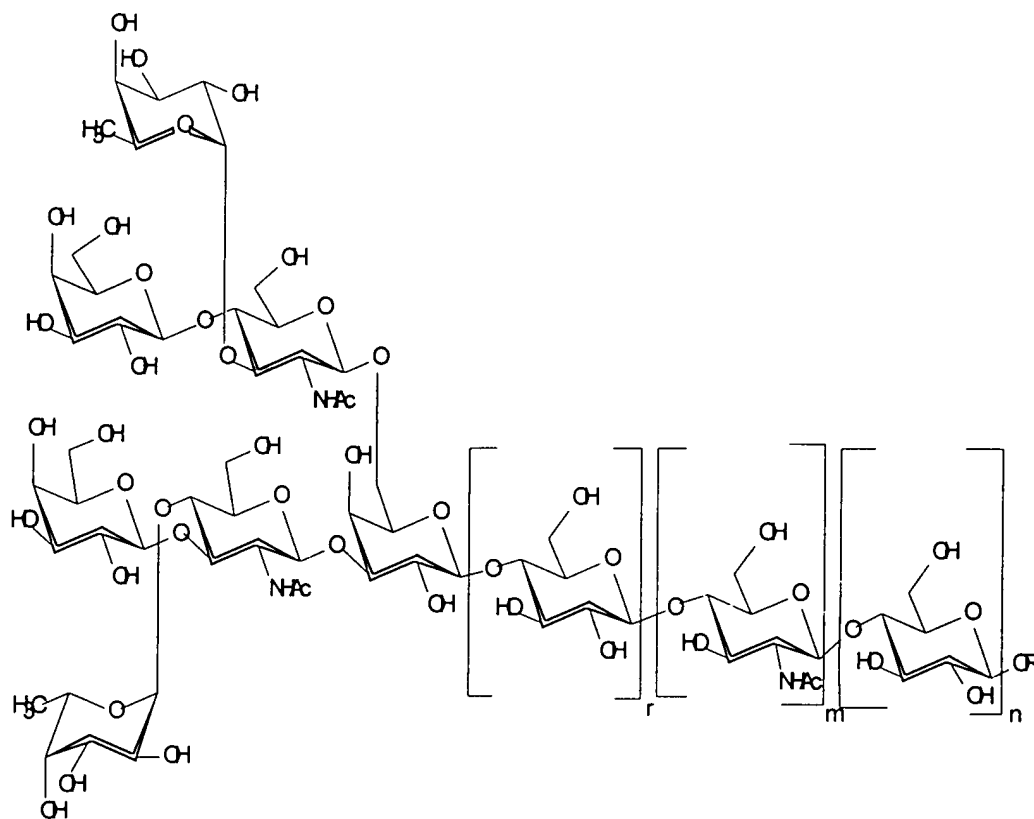
Figure 12 illustrates the direct coupling of KH-1 to KLH.

- 5      **Figure 13** illustrates the coupling of KH-1 to KLH via a  $M_2$  cross-linker.



Detailed Description of the Invention

The subject invention provides a compound having the structure:



5

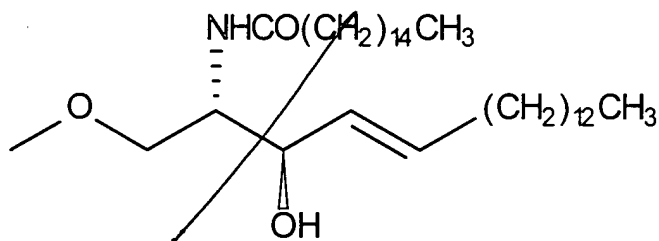
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wherein R is H, substituted or unsubstituted alkyl, aryl or allyl, or an amino acyl moiety, an amino acyl residue of a peptide, an amino acyl residue of a protein, which amino acyl moiety or residue bears an  $\omega$ -amino group or an  $\omega$ -(C=O)- group, which group is linked to O via a polymethylene chain having the structure  $-(CH_2)_s-$ , where s is an integer between about 1 and about 9, or a moiety having the structure:

20

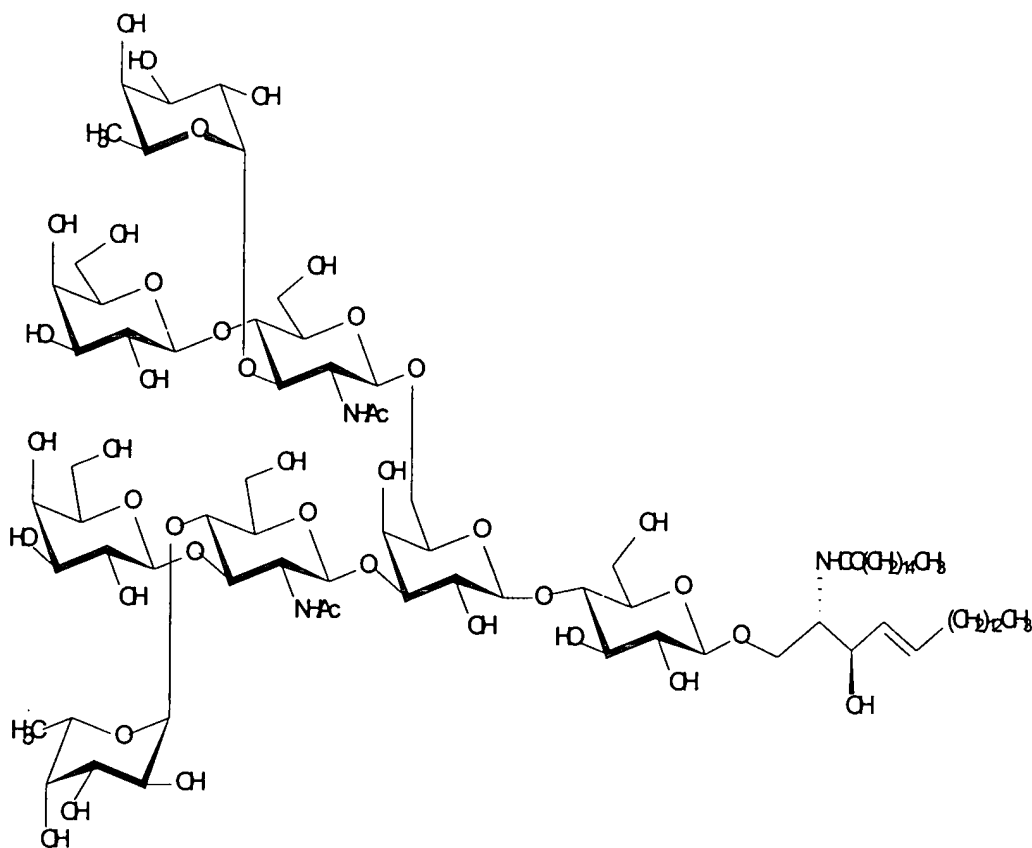
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and wherein r, m and n are independently 0, 1, 2 or 3.

5

The present invention also provides a compound having the structure:



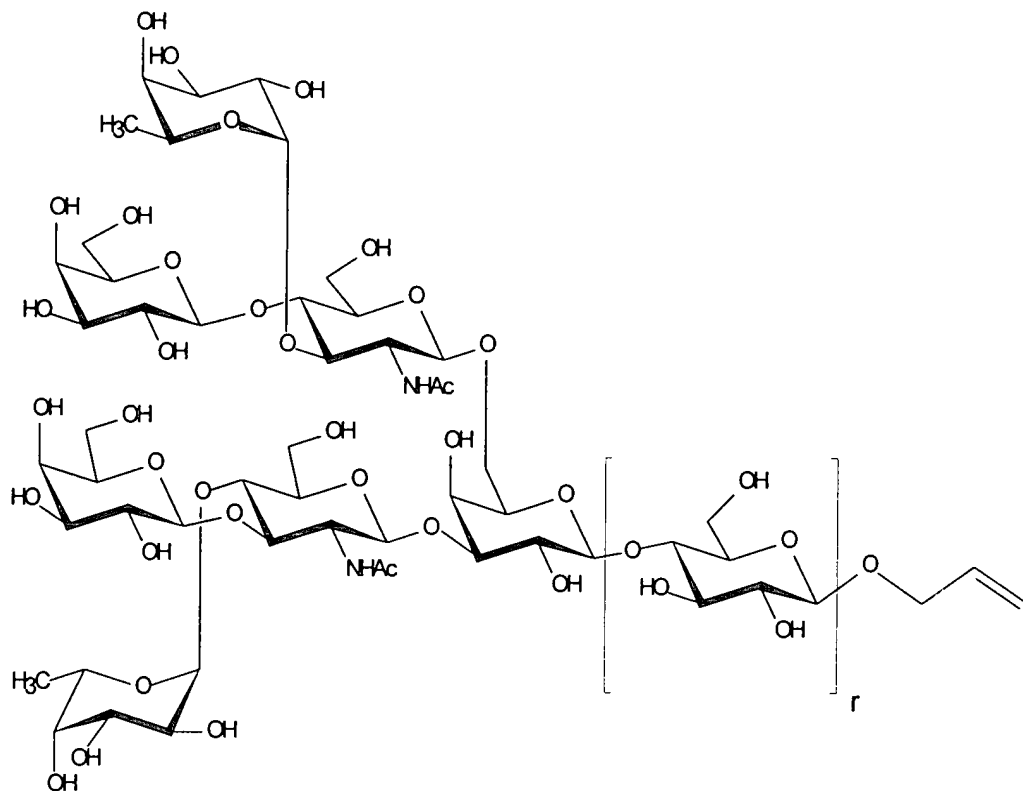
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5 In one embodiment, the invention provides a compound wherein the protein is bovine serum albumin or KLH.

The invention also provides a compound having the structure:

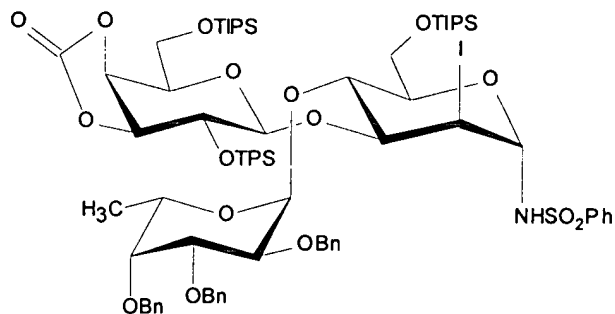
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5                wherein  $r$  is 0, 1, 2, 3 or 4. In one embodiment, the invention provides the compound wherein  $r$  is 1.

The invention further provides a method of preparing a trisaccharide iodosulfonamide having the structure:

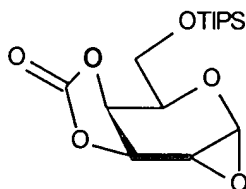
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which comprises:

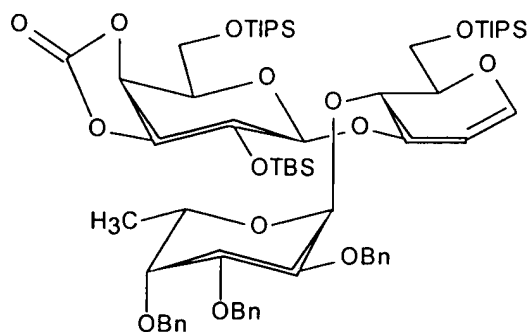
(a)(i) coupling a disaccharide glycal with an epoxide having the structure:

15



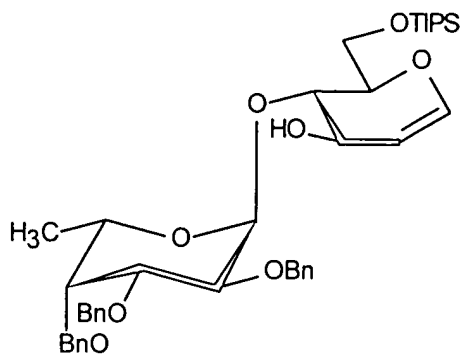
20            under suitable conditions to form a trisaccharide intermediate; and

(ii) etherifying the trisaccharide intermediate with a suitable protecting agent to form a trisaccharide glycal having the structure:



and

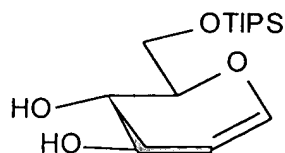
(b) reacting the trisaccharide glycal formed in step (c) with an iodosulfonamidating agent under suitable conditions to form the trisaccharide iodosulfonamide. In one embodiment, the invention provides the method wherein the disaccharide glycal has the structure:



is prepared by a process which comprises:

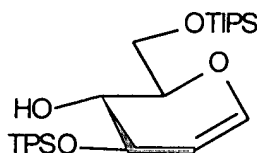
(a) protecting a glucal having the structure:

5



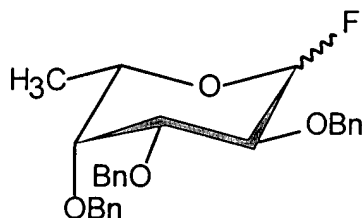
with a silylating agent under suitable conditions to form a protected glucal having the structure:

10



(b) (i) alkylating the protected glucal formed in step (a) with a fucosylfluoride having the structure:

15



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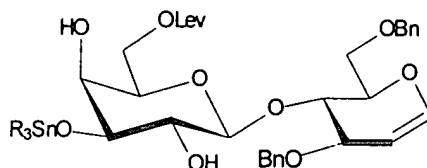
and

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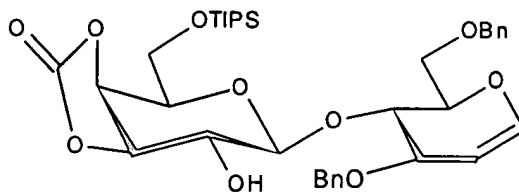
(ii) deprotecting under suitable conditions to form the disaccharide glycal. In one embodiment, the invention provides the method wherein the silylating agent in step (a) is triphenylsilyl chloride. In another embodiment, the invention provides the method wherein the alkylating step is effected in the presence of an ionizing salt, and the ionizing salt is  $\text{AgClO}_4$ . In an additional embodiment, the invention provides the method wherein the conditions of the deprotecting step comprise a base. In yet another

5 embodiment, the invention provides the method wherein the  
base is potassium carbonate. The method also encompasses  
the embodiment wherein the conditions of the coupling  
comprise an acid. The method further encompasses the  
embodiment wherein the acid is a Lewis acid. One example  
10 of the Lewis acid is zinc dichloride. One example of the  
silylating agent used is TBSOTf. The iodosulfonamidating  
agent of step (b) above may comprise  $I(coll)_2ClO_4$  and  
 $PhSO_2NH_2$ .

15 The present invention also provides a method of  
preparing a disaccharide stannane having the structure:



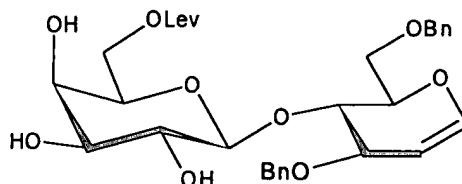
20 which comprises:  
(a)(i) deprotecting a disaccharide glucal having the  
structure:





under suitable conditions to form a deprotected intermediate; and

(ii) selectively reprotecting the deprotected intermediate with levulinic acid under suitable conditions to form a disaccharide levulinate having the structure:



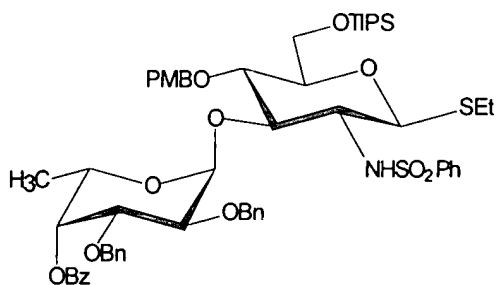
and

(b) reacting the disaccharide levulinate formed in step (a) with a distannyl oxide having the formula  $(R_3Sn)_2O$ , wherein R is linear or branched chain alkyl or aryl, under suitable conditions to form the disaccharide stannane. The invention encompasses the method wherein the conditions of the deprotecting step comprise a fluoride salt. The invention further encompasses the method wherein the fluoride salt is a tetraalkylammonium fluoride. The method additionally encompasses the method wherein the tetraalkylammonium fluoride salt is tetra-n-butylammonium fluoride. The invention also encompasses the method wherein the conditions of the reprotecting

5 step comprise 2-chloro-1-methylpyridinium iodide. In one embodiment, the invention provides the method wherein R is n-Bu.

The present invention further provides a method of preparing a disaccharide ethylthioglycoside having the structure:

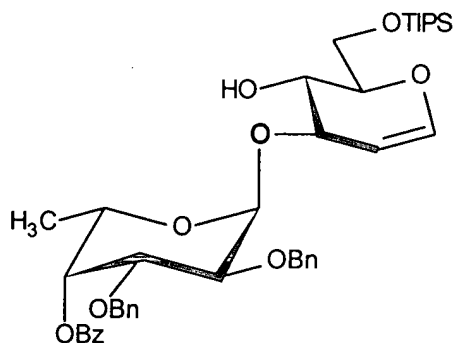
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which comprises:

(a)(i) protecting a disaccharide glucal having the structure:

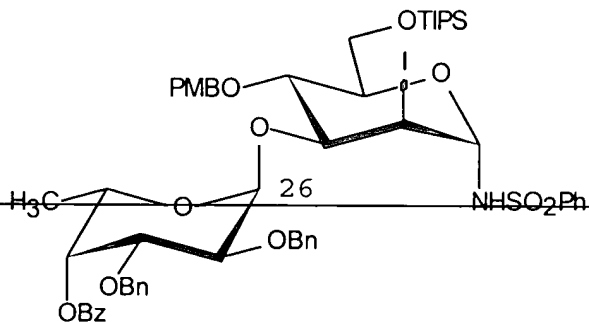
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with a suitable protecting agent to form a protected disaccharide glucal; and

(ii) reacting the protected disaccharide glucal under suitable conditions with an iodosulfonamidating agent to form a disaccharide iodosulfonamide having the structure:

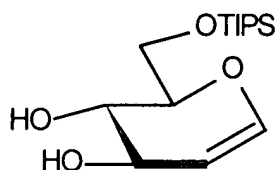
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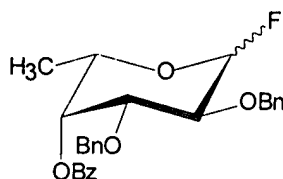
and

(b) treating the disaccharide iodosulfonamide formed in step (a)(ii) with ethanethiol under suitable conditions to form the disaccharide ethylthioglycoside. The method encompasses the embodiment wherein the disaccharide glucal is prepared by a process which comprises:

(a) alkylating a protected glucal having the structure:



with a fucosyl fluoride having the structure:

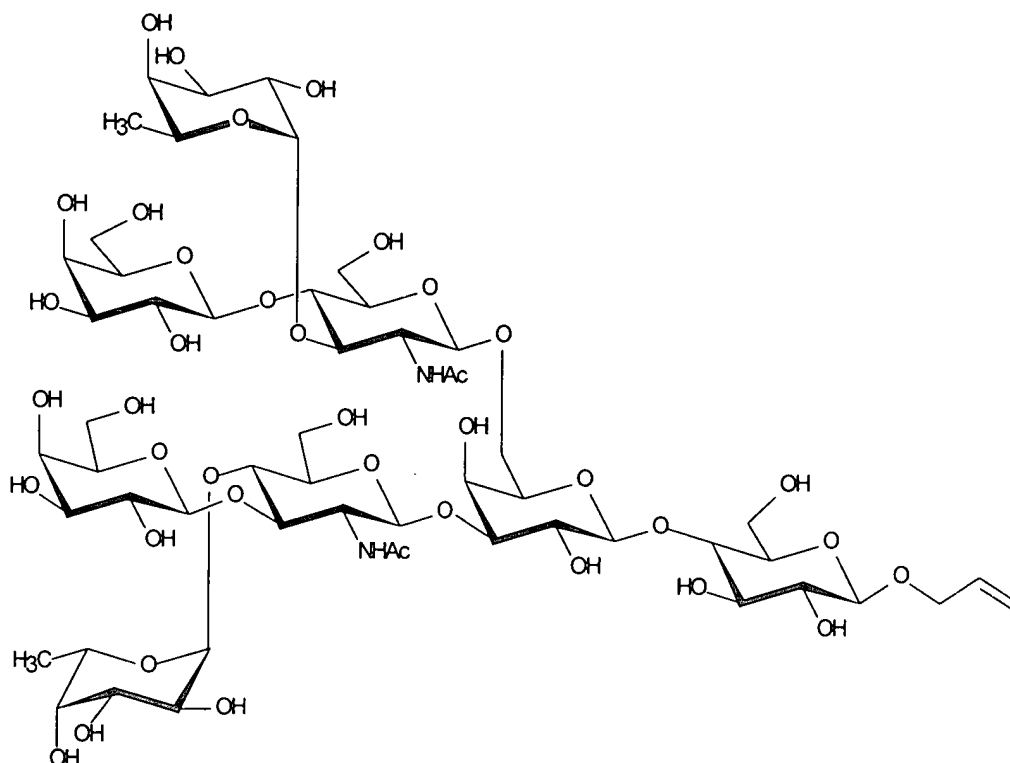


under suitable conditions to form the disaccharide glucal.

The method encompasses the embodiment wherein the conditions of the alkylating step comprise an ionizing salt. In addition, the method encompasses the example wherein the ionizing salt is  $\text{AgClO}_4$ . The method also includes the example wherein the protecting agent is  $\text{PMBCl}$ . The method further encompasses the embodiment

5 wherein the iodosulfonamidating agent in step (b)(ii) comprises  $\text{I}(\text{coll})_2\text{ClO}_4$  and  $\text{PhSQNH}_2$ . The method also encompasses the embodiment wherein the conditions of the treating step comprise a base. The method also includes the instance wherein the base is LHMDs.

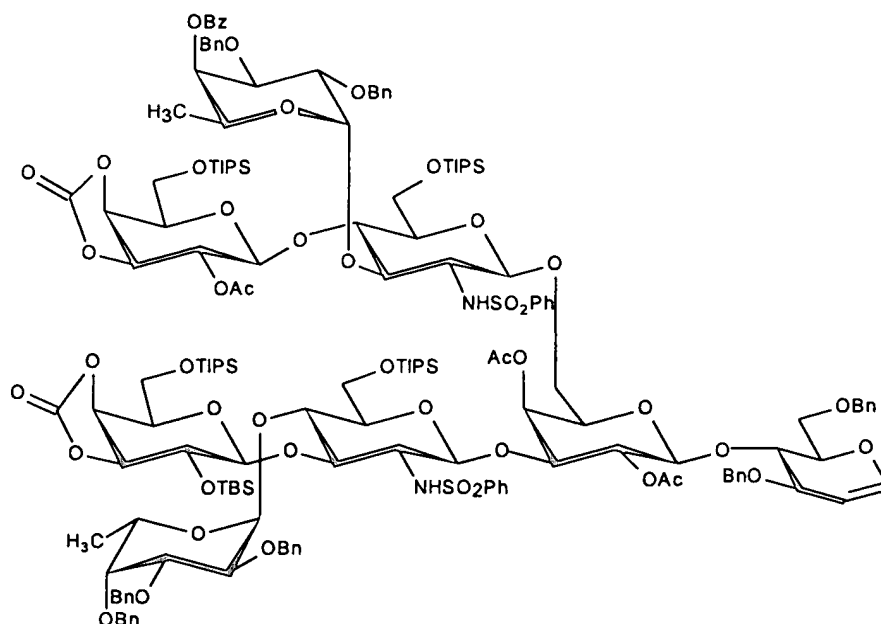
10 The invention also provides a method of preparing an N3 allyl glycoside having the structure:



15 which comprises:

(a) desilylating a protected N3 glycal having the structure:

20



under suitable conditions to form a desilylated N3 glycal;

- 10 (b) deprotecting the desilylated N3 glycal formed in step (a) under suitable conditions to form a deprotected N3 glycal;

(c) treating the deprotected N3 glycal formed in step (b) with acetic anhydride in the presence of a suitable catalyst to form an N3 glycal acetate;

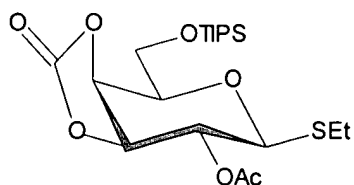
- 15 (d) epoxidizing the N3 glycal acetate formed in step (c) with an oxygen transfer agent under suitable conditions to form an N3 glycal epoxyacetate;

- (e) cleaving the N3 glycal epoxyacetate formed in step (d) with allyl alcohol under suitable conditions to form an N3 glycal allyl ether; and

- 20 (f) saponifying the N3 glycal allyl ether under suitable conditions to form the N3 allyl glycoside.

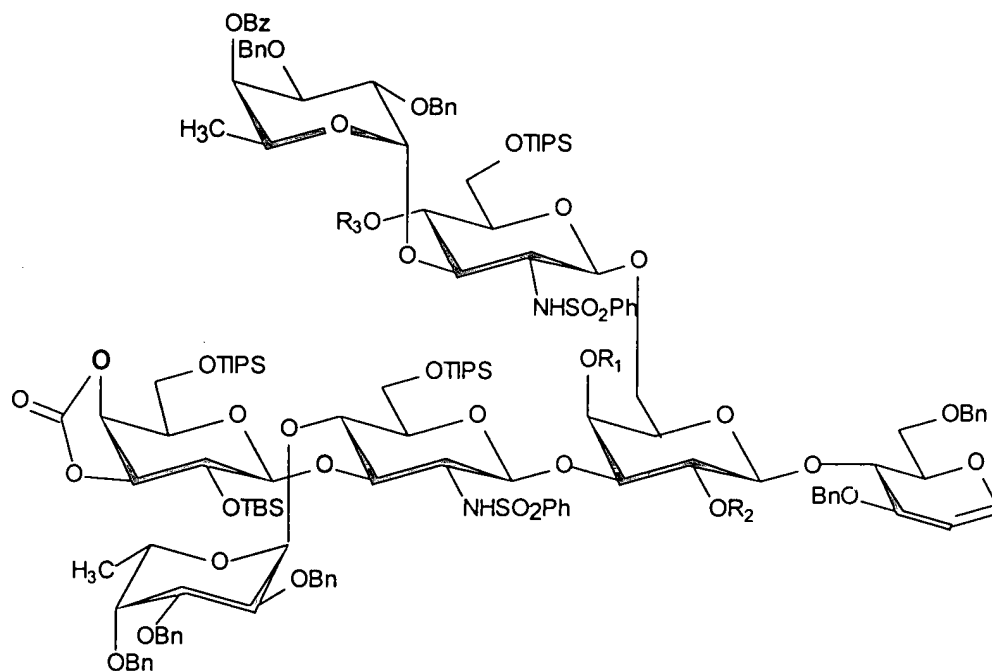
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The invention also encompasses the method wherein the protected N3 glycal is prepared by a process which comprises coupling an ethylthioglycoside having the structure:



10

heptasaccharide glycal having the structure:



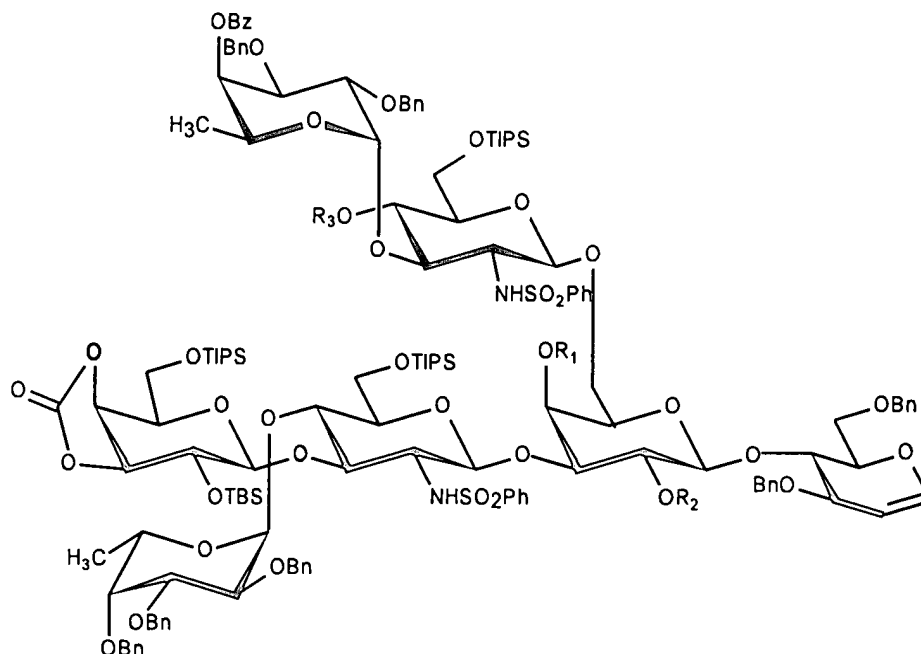
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wherein  $R_1$  and  $R_2$  are Ac and  $R_3$  is H, in the presence of an alkylating agent under suitable conditions to form the protected N3 glycal. The invention encompasses the method wherein the alkylating agent is MeOTf. The invention also encompasses the method wherein the conditions of the

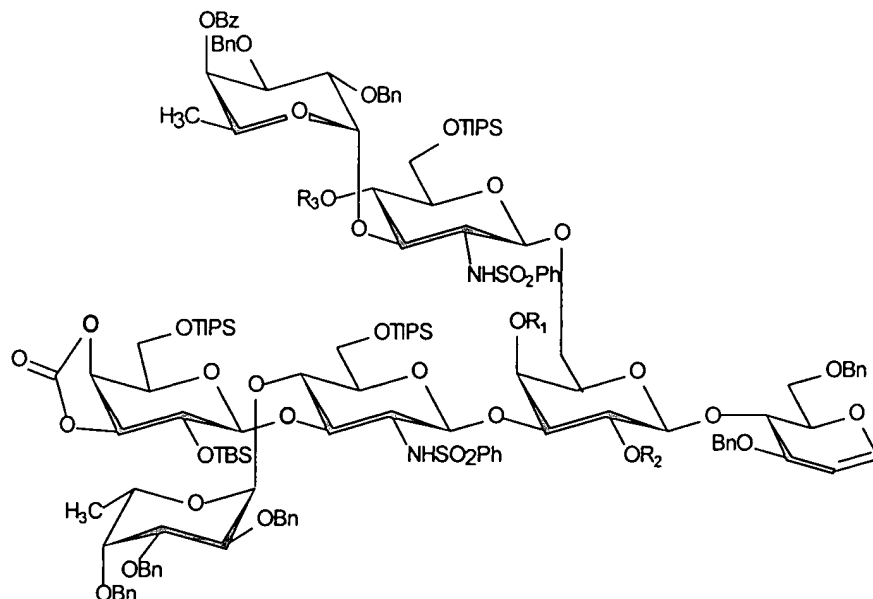
20

5 desilylating step comprise a fluoride salt. The  
 invention also encompasses the method wherein the  
 fluoride salt is a tetraalkylammonium fluoride. The  
 invention also encompasses the method wherein the  
 tetraalkylammonium fluoride is tetra-n-butylammonium  
 10 fluoride. The invention further includes the method  
 wherein the catalyst in the treating step is 2-N,N-  
 dimethylaminopyridine. The invention also encompasses  
 the method wherein the oxygen transfer agent is 3,3-  
 dimethyldioxirane.

15 The present invention encompasses a method of  
 preparing a heptasaccharide glycal diacetate intermediate  
 having the structure:



- 5 wherein  $R_1$  and  $R_2$  are Ac and  $R_3$  is H, which comprises:  
 (a) (i) monoacylating a heptasaccharide glycal having the structure:



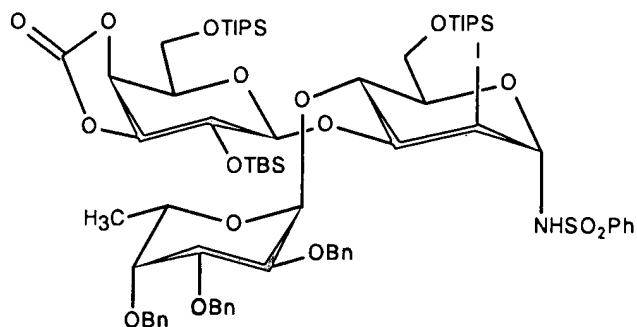
10

- wherein  $R_1$  and  $R_2$  are H and  $R_3$  is PMB; with acyl anhydride in the presence of a catalyst under suitable conditions to form a heptasaccharide glycal monoacetate;  
 15 (ii) treating the heptasaccharide glycal monoacetate formed in step (a) (i) with an acyl anhydride in the presence of a catalyst under conditions suitable to form a heptasaccharide glycal diacetate;  
 (iii) deprotecting the heptasaccharide glycal diacetate  
 20 under suitable conditions to form the heptasaccharide glycal diacetate intermediate.

The invention encompasses the method wherein the heptasaccharide glycal is prepared by a process which comprises:

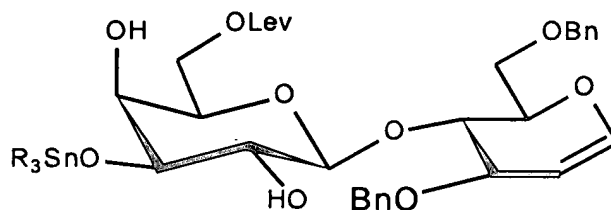


- 5 (a) (i) reacting a trisaccharide iodosulfonamide having the structure:



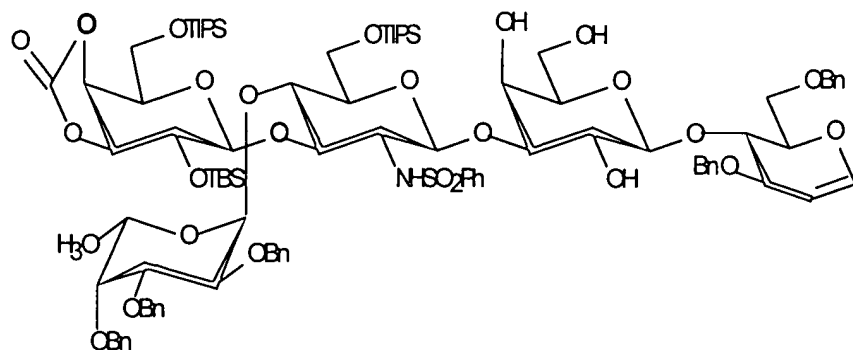
with a disaccharide stannane having the structure:

10



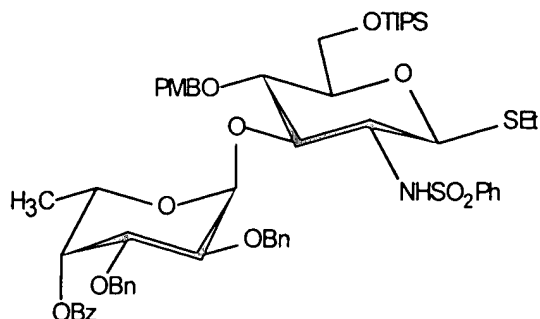
under suitable conditions; and (ii) deprotecting under suitable conditions to form a pentasaccharide glycal having the structure:

15



and

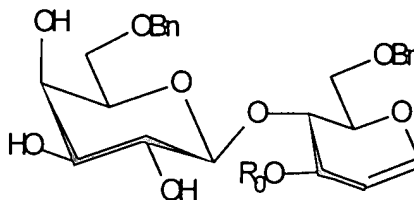
- (b) coupling the pentasaccharide glycal formed in step  
20 (a) with an ethylthioglycoside having the structure:



under suitable conditions to form the heptasaccharide glycal. The invention encompasses the method wherein the conditions of the reacting step comprise an ionizing agent.

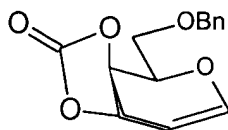
The invention also encompasses the method wherein the ionizing agent is  $\text{AgBF}_4$ .

The invention further encompasses a method of preparing a protected disaccharide having the structure:



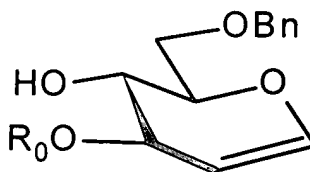
wherein  $R_0$  is  $1\text{-C}$  linear or branched chain alkyl, arylalkyl, trialkylsilyl, aryldialkylsilyl, diarylalkylsilyl, and triarylsilyl, which comprises:

(a)(i) epoxidizing a galactal carbonate having the structure:



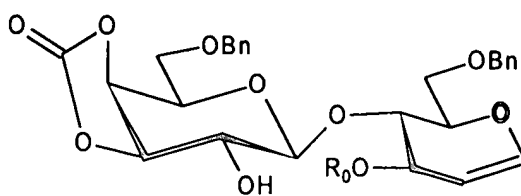
- 5 with an oxygen transfer agent under suitable conditions to form an epoxide galactal; and
- (ii) coupling the epoxide galactal formed in step (a) (i) with a doubly protected galactal having the structure:

10



under suitable conditions to form a disaccharide carbonate having the structure:

15



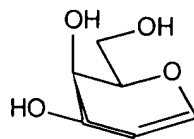
and (b) saponifying the disaccharide carbonate formed in step (a) (ii) under suitable conditions to form the protected disaccharide.

20

The invention encompasses the method wherein the galactal carbonate is prepared by a process which comprises:

- (a) protecting a galactal having the structure:

25

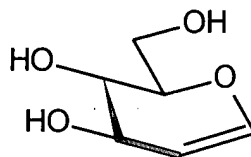


5 with an alkylating agent under suitable conditions to form a first protected galactal; and

(b) treating the first protected galactal formed in step (a) with a carbonate-forming reagent under conditions  
10 suitable to form the galactal carbonate. The invention further provides the method wherein the carbonate-forming reagent is  $(\text{Im})_2\text{CO}/\text{DMAP}$ .

The invention also provides a method wherein the doubly protected galactal is prepared by a process  
15 which comprises:

(a) protecting a second galactal having the structure:

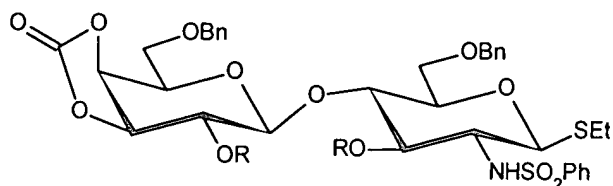


20 with an alkylating agent under conditions suitable to form a second protected galactal; and

(b) protecting the second protected galactal formed in step (a) with an alkylating agent which may be the same or different from that of step (a) under conditions  
25 suitable to form the doubly protected galactal. The invention encompasses the method wherein each alkylating agent is independently an alkyl, arylalkyl, trialkylsilyl, aryldialkylsilyl, diarylalkylsilyl or triarylalkylsilyl halide or triflate. The invention further  
30 encompasses the method wherein the alkylating agent is benzyl bromide. In one example, the alkylating agent is TES-Cl. The method also encompasses the method wherein

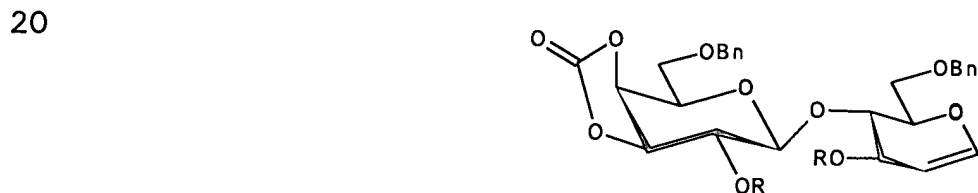
5 the oxygen transfer agent is DMDO. The method further encompasses conditions for the coupling step comprising  $\text{ZnCl}_2$  in THF. The additionally encompasses conditions for the saponifying step comprising  $\text{K}_2\text{CO}_3$  in methanol.

10 The present invention further provides a method of preparing an ethylthioglycoside having the structure:

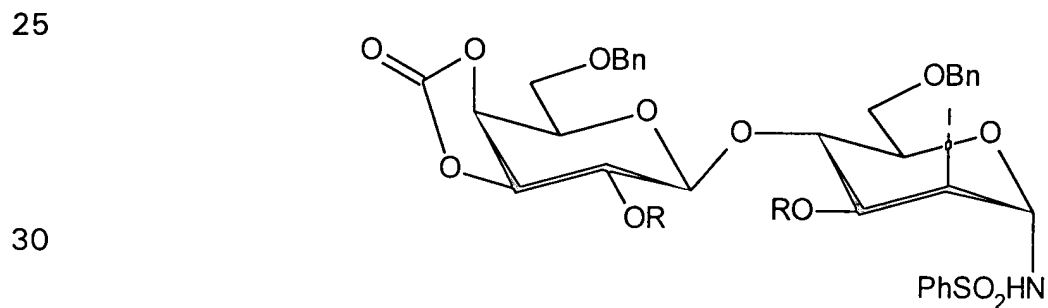


15 wherein R is  $\text{C}_{1-9}$ , linear or branched chain alkyl, arylalkyl, trialkylsilyl, aryldialkylsilyl, diarylalkylsilyl, and triarylsilyl, which comprises:

(a) treating a protected disaccharide carbonate having the structure:



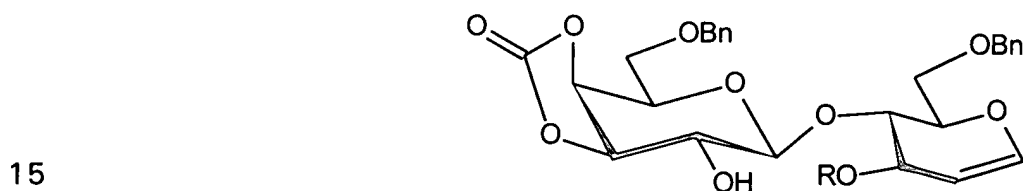
with an iodosulfonamidating agent under suitable conditions to form a disaccharide iodosulfonamidate having the structure:



and

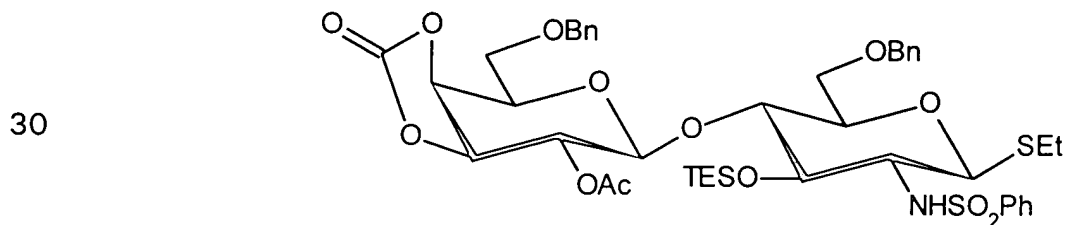
5 (b) reacting the disaccharide iodosulfonamidate formed in step (a) with ethylthiol under suitable conditions to form the ethylthioglycoside.

The invention also provides a method wherein the protected disaccharide carbonate is prepared by a process which comprises alkylating a disaccharide carbonate having the structure:



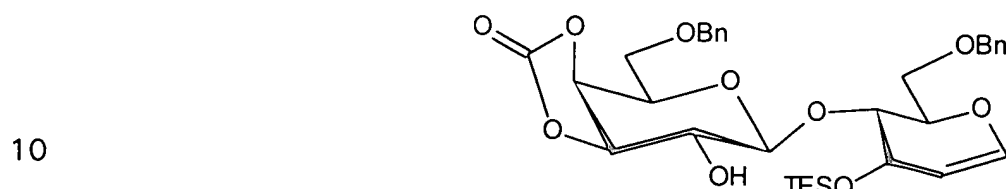
with an alkylating agent under suitable conditions to form the protected disaccharide carbonate. The method encompasses within the scope of the method any alkylating agent selected from the group including an alkyl, arylalkyl, trialkylsilyl, aryldialkylsilyl, diarylalkylsilyl or triarylsilyl halide or triflate. An example of the alkylating agent is TES-Cl. An example of the the iodosulfonamidating agent is  $I(coll)_2ClO_4$  and  $PhSO_2NH_2$ .

25 The present invention provides a method of preparing an ethylthioglycoside having the structure:

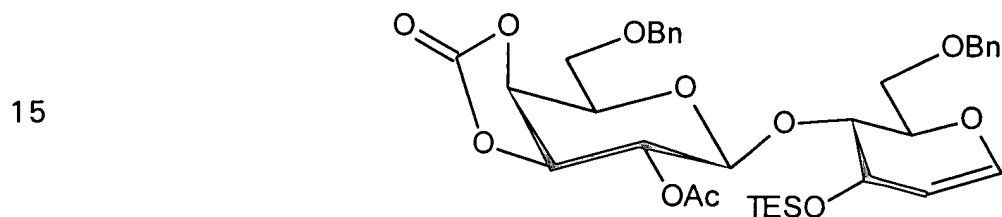


which comprises:

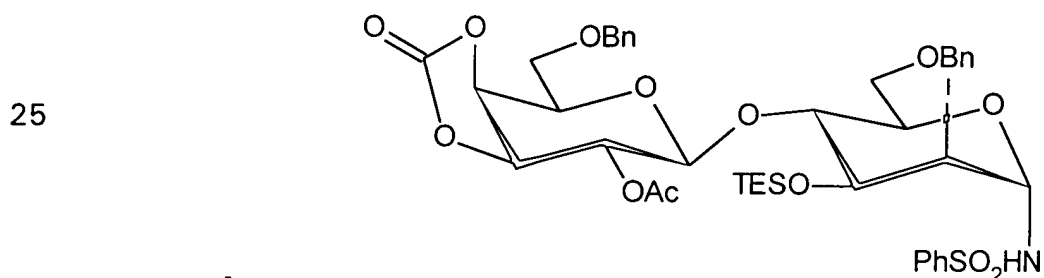
5 (a) acylating a disaccharide carbonate having the structure:



under suitable conditions to form an acylated disaccharide carbonate having the structure:



20 (b) treating the acylated disaccharide carbonate formed in step (a) with an iodosulfonamidating agent under suitable conditions to form a disaccharide iodosulfonamidate having the structure:



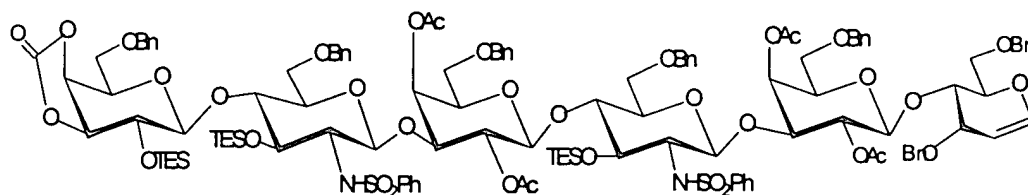
and

30 (c) reacting the iodosulfonamidate formed in the step (b) with ethyl thiol under suitable conditions to form the ethylthioglycoside. The invention encompasses the method wherein the conditions of the acylating step comprise

5 acetic anhydride/pyridine. An example of the  
iodosulfonamidating agent is  $I(coll)_2ClO_4$  and  $PhSO_2NH_2$ .

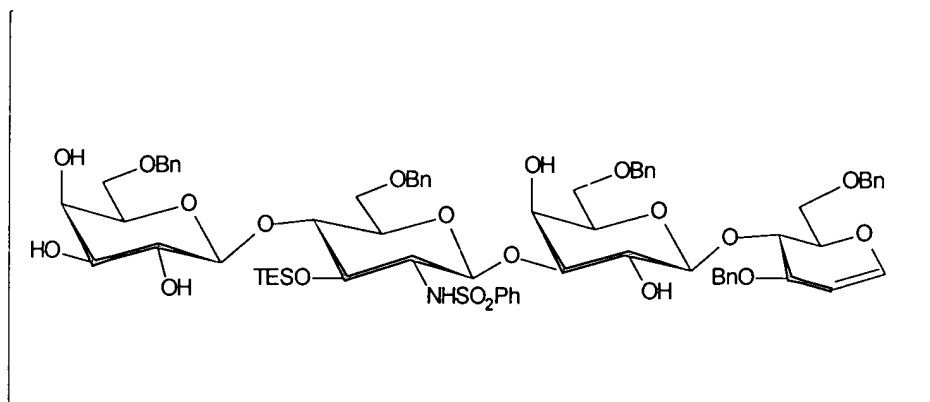
The present invention also provides a method of  
preparing a protected hexasaccharide having the  
structure:

10

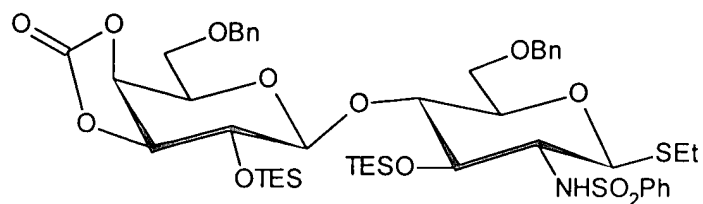


which comprises:

(a) reacting a protected tetrasaccharide having the  
structure:



15 with an ethylglycoside having the structure:



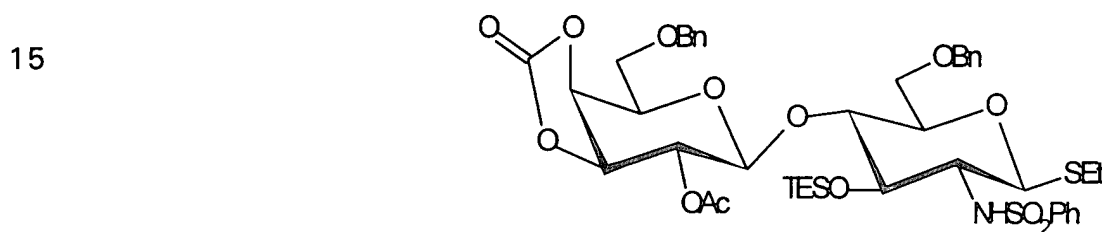


5 under suitable conditions to form a hexasaccharide intermediate; and

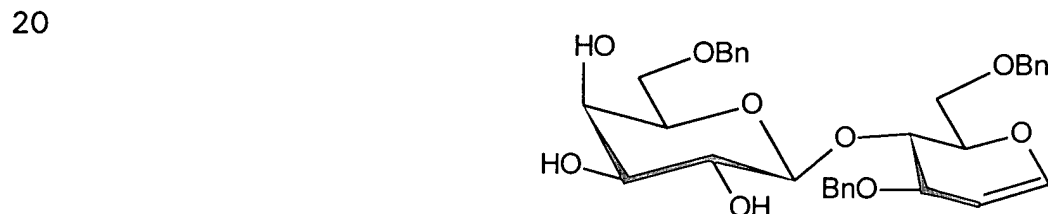
(b) acetylating the hexasaccharide intermediate formed in step (a) under suitable conditions to form the protected hexasaccharide.

10 The invention provides a method wherein the protected tetrasaccharide is prepared by a process which comprises:

(a) coupling an ethythioglycoside having the structure:



with a protected disaccharide having the structure:



under suitable conditions to form a protected tetrasaccharide carbonate; and

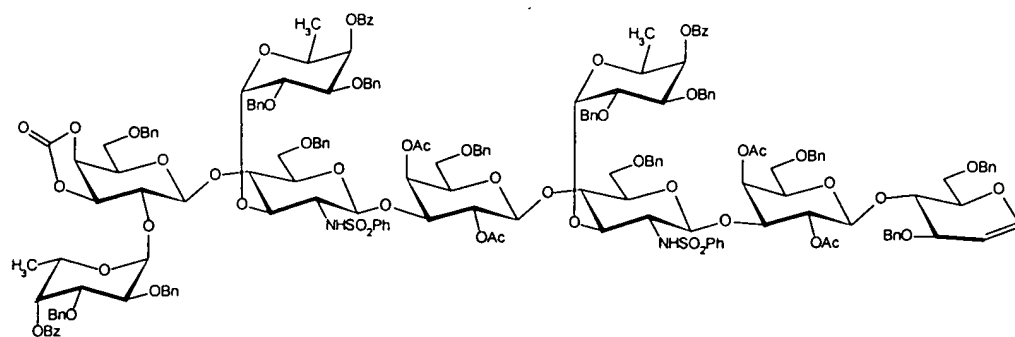
25 (b) saponifying the protected tetrasaccharide carbonate formed in step (a) under suitable conditions to form the protected tetrasaccharide. The invention encompasses the method wherein the conditions of the coupling step  
30 comprise MeOTf/MS. The invention also encompasses the method wherein the conditions of the saponifying step comprise  $K_2CO_3$  in methanol.

5

The present invention provides a method of preparing a protected nonasaccharide having the structure:

10

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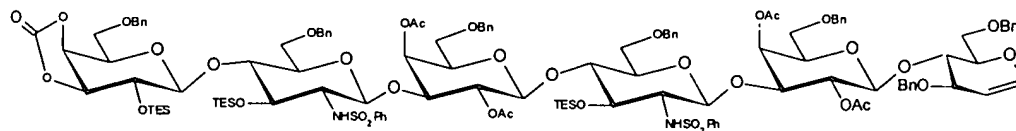


which comprises:

20

(a) deprotecting a protected hexasaccharide having the structure:

25

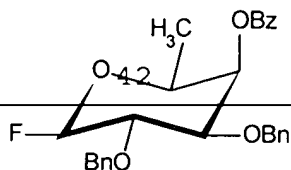


30

under suitable conditions to form a partially deprotected hexasaccharide; and

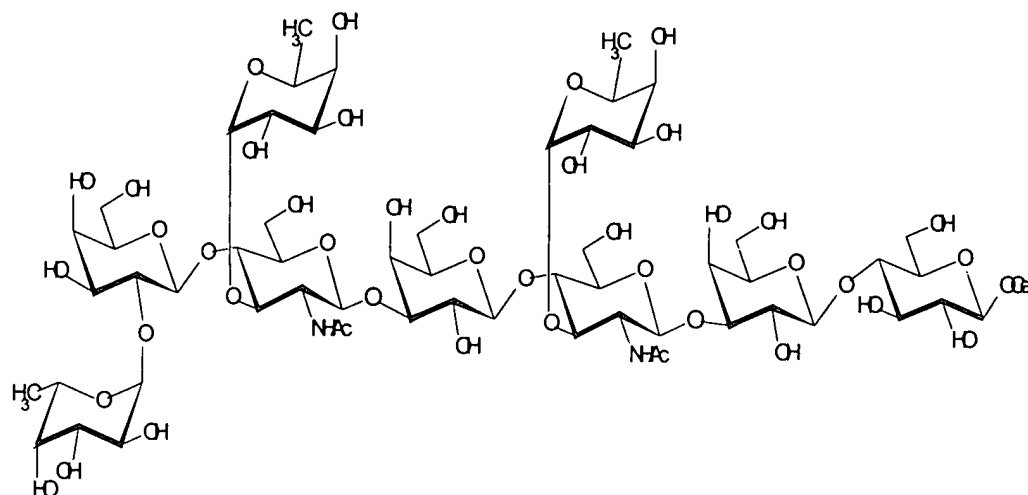
(b) coupling the partially deprotected hexasaccharide formed in step (a) with a fucosylfluoride having the structure:

35



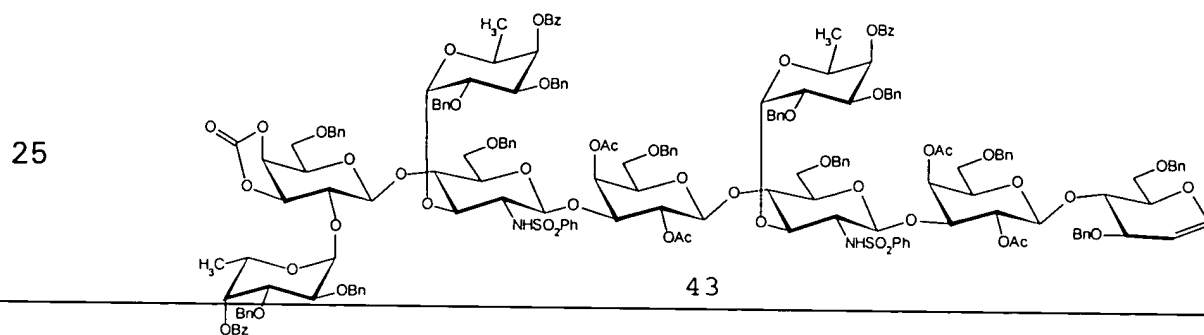
5 in the presence of an organometallic reagent under  
 suitable conditions to form the protected nonasaccharide.  
 The method encompasses conditions of the deprotecting  
 step comprising a fluoride salt. The fluoride salt may  
 be a tetraalkylammonium fluoride. Specifically, the  
 10 fluoride salt may be TBAF. The invention encompasses the  
 method wherein the organometallic reagent is  
 $\text{Sn}(\text{OTf})_2/\text{DTBP}$ .

The present invention also provides a method of  
 preparing a protected nonasaccharide ceramide having the  
 15 structure:



which comprises:

20 (a) epoxidizing a protected nonasaccharide having the  
 structure:

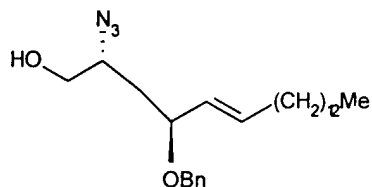


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with an oxygen transfer agent under suitable conditions to form a protected nonasaccharide epoxide;

(b) coupling the protected nonasaccharide epoxide formed in step (a) with an azide having the structure:

15



20

under suitable conditions to form a nonasaccharide azide intermediate;

(c) reductively acylating the azide intermediate with palmitic anhydride under suitable conditions to form a protected nonasaccharide ceramide;

25

(d) reducing the protected nonasaccharide ceramide formed in step (c) under suitable conditions to form a deprotected nonasaccharide ceramide;

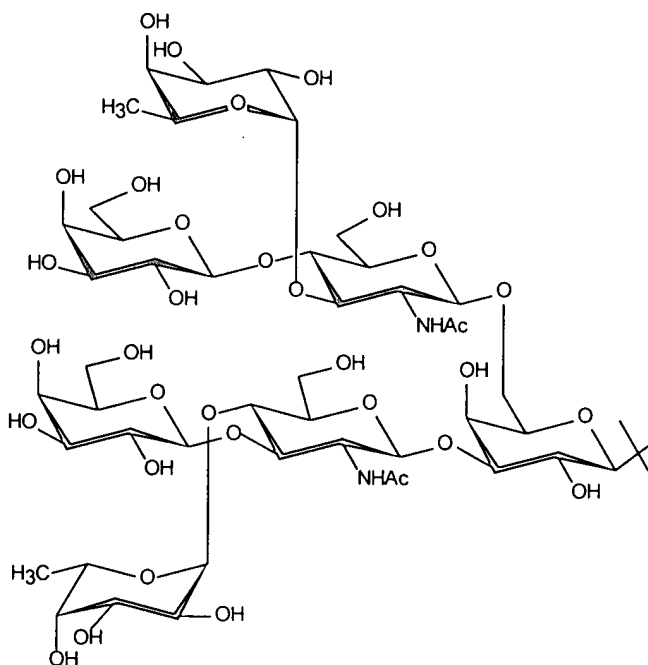
(e) acylating the deprotected nonasaccharide ceramide under suitable conditions to form an acylated nonasaccharide ceramide; and

30

(f) saponifying the acylated nonasaccharide ceramide under suitable conditions to form the nonasaccharide ceramide. The invention encompasses the method wherein

5 the oxygen transfer agent is DMDO. The invention also encompasses the method wherein the conditions of the coupling step comprise  $\text{ZnCl}_2$ . The method further encompasses use of an azide intermediate which is reductively acylated in step (c) in the presence of  
10 Lindlar's catalyst. The invention further encompasses the method wherein conditions of the saponifying step comprise MeONa in methanol.

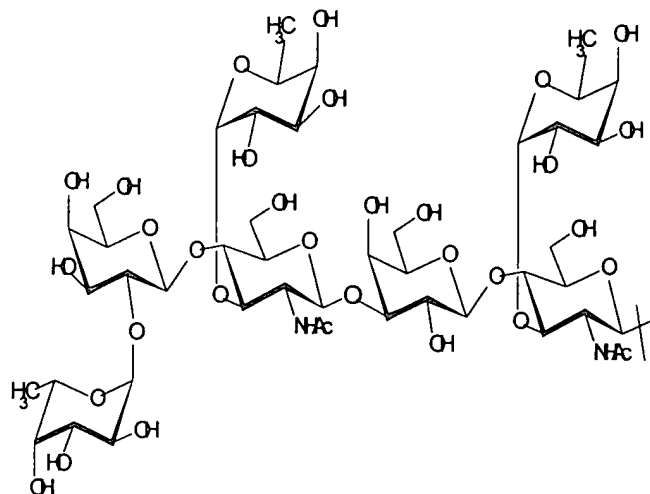
The present invention provides a method of inducing antibodies in a subject, wherein the antibodies are capable of specifically binding with epithelial tumor  
15 cells cells, which comprises administering to the subject an amount of a compound which contains a determinant having a structure selected from the group consisting of:  
(a)



and

10

(b)



15

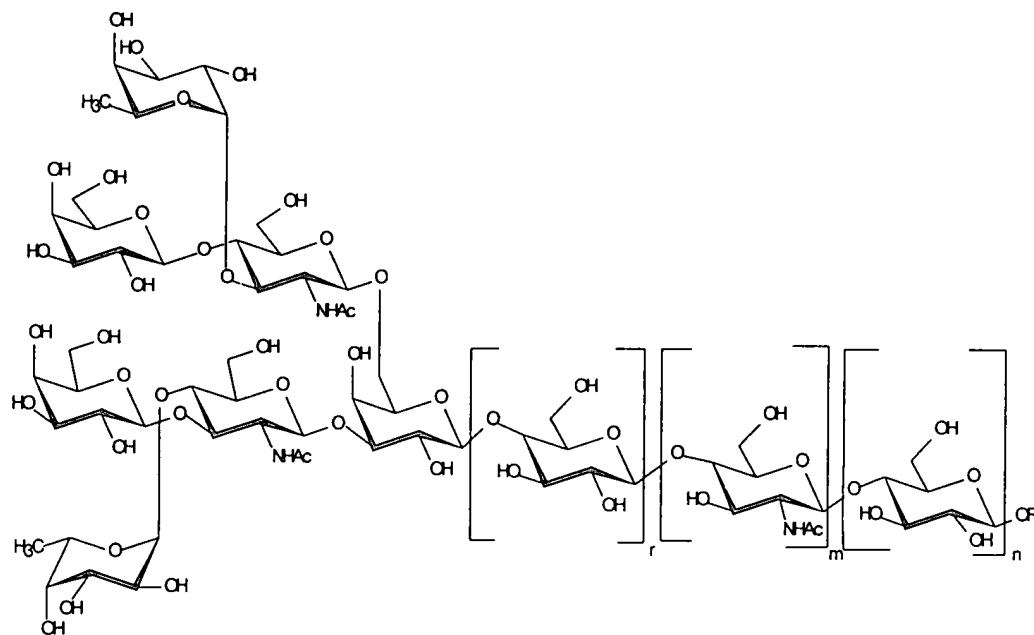
20

which amount is effective to induce antibodies. In one embodiment, the invention encompasses a method wherein the compound is bound to a suitable carrier protein, said compound being bound either directly or by a cross-linker selected from the group consisting of a succinimide and an  $M_2$  linker. Preferably, the compound contains a KH-1 or N3 epitope. The method specifically encompasses use of the carrier protein selected from the group consisting of bovine serum albumin, polylysine or KLH. The method also encompasses the method disclosed which further comprises co-administering an immunological adjuvant. In

5 particular, the adjuvant may include bacteria or liposomes. Specifically, the adjuvant may be *Salmonella minnesota* cells, bacille Calmette-Guerin or QS21. In a certain embodiment, the method includes use of the compound having the structure:

10

(a)



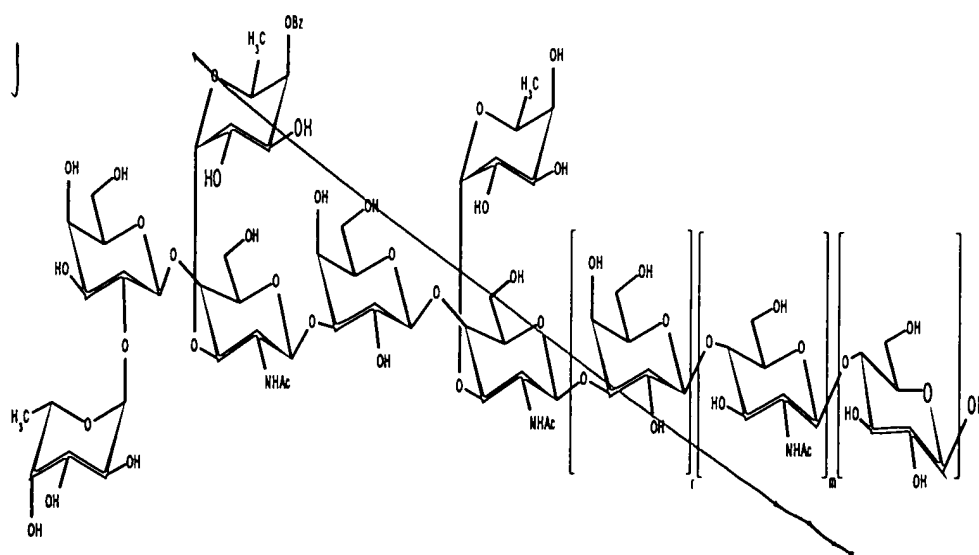
15

20 or

(b)

7W(5)

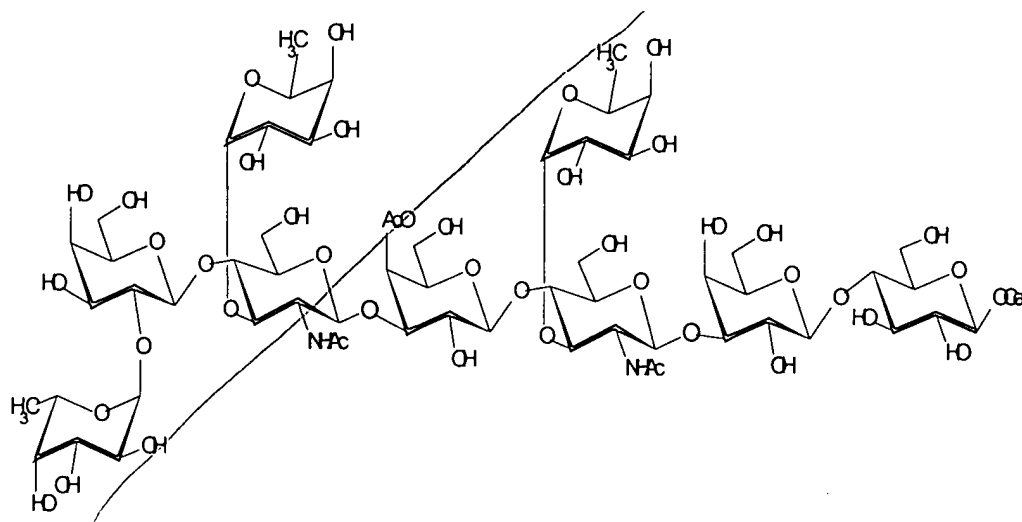
✓ CB 2



or

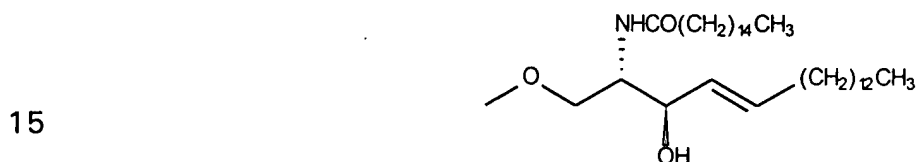
(c)

ms





5 wherein R is H, substituted or unsubstituted alkyl, aryl  
 or allyl, or an amino acyl moiety, an amino acyl residue  
 of a peptide, an amino acyl residue of a protein, which  
 amino acyl moiety or residue bears an  $\omega$ -amino group or an  
 $\omega$ -(C=O)- group, which group is linked to O via a  
 10 polymethylene chain having the structure  $-(CH_2)_s-$ , where  
 s is an integer between about 1 and about 9, or a moiety  
 having the structure:



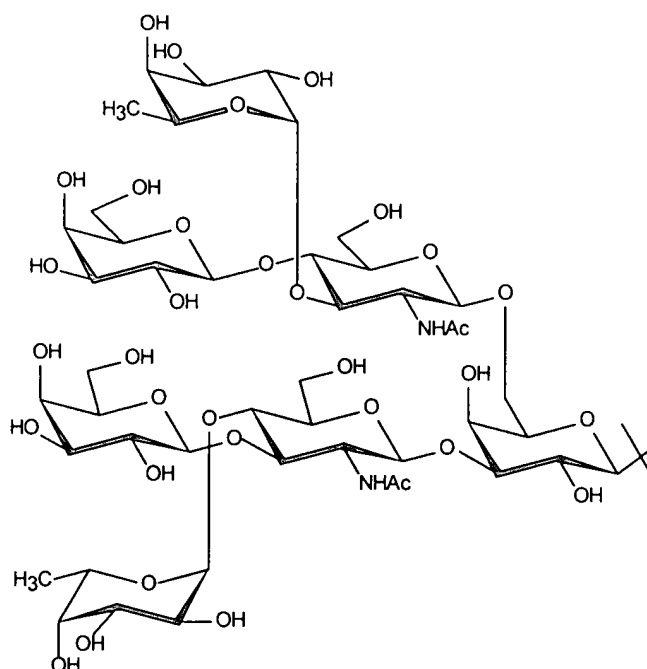
and wherein r, m and n are independently 0, 1, 2 or 3.  
 The invention encompasses the method wherein the subject  
 is in clinical remission or, where the subject has been  
 treated by surgery, has limited unresected disease.

20 In the practice of the invention, the method  
 encompasses the induction of antibodies capable of  
 specifically binding with gastrointestinal tumor cells,  
 colon tumor cells, lung tumor cells, prostate tumor  
 cells.

25 In addition, the invention provides a method of  
 treating a subject suffering from an epithelial cell  
 cancer, which comprises administering to the subject an  
 amount of a compound which contains a determinant having  
 a structure selected from the group consisting of:

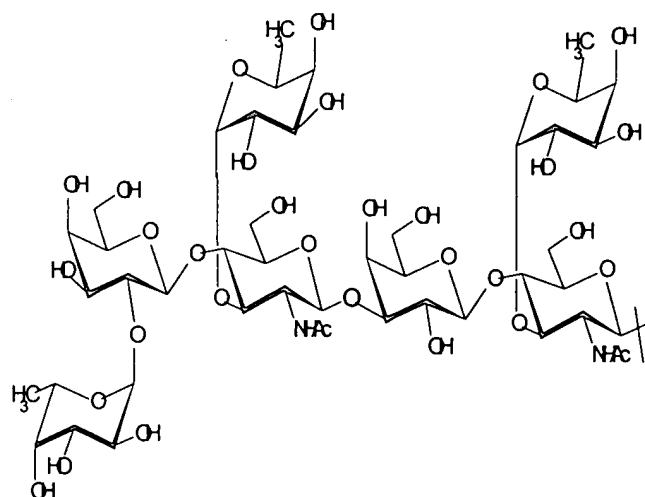
5

(a)



and

(b)

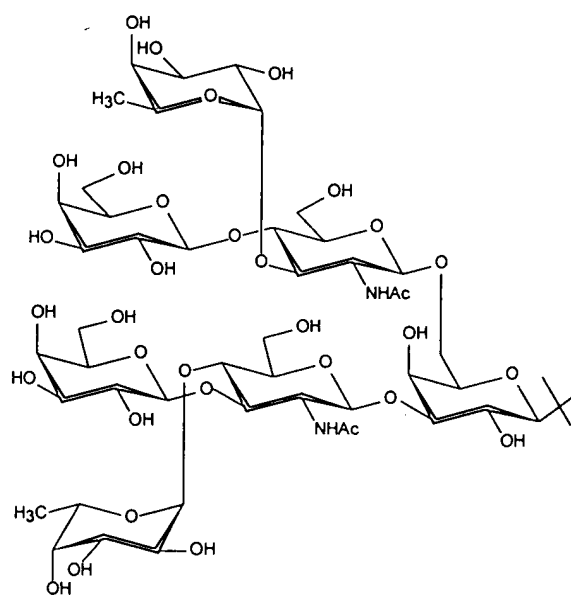


which amount is effective to treat the cancer. The method may be practiced wherein the compound is bound to a suitable carrier protein, said compound being bound

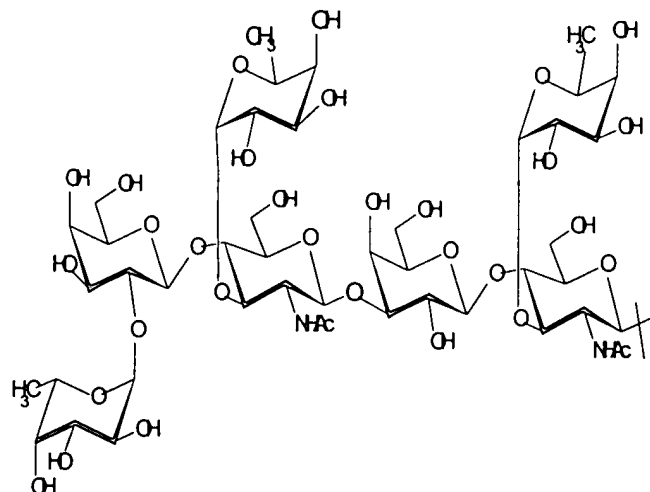
5 either directly or by a cross-linker selected from the  
group consisting of a succinimide and an  $M_2$  linker.  
Favorably, the carrier protein is bovine serum albumin,  
polylysine or KLH, and the compound contains a KH-1 or N3  
epitope. The method may further comprise co-  
10 administering an immunological adjuvant. The adjuvant is  
bacteria or liposomes. In particular, the adjuvant is  
*Salmonella minnesota* cells, bacille Calmette-Guerin or  
QS21.

15 The invention further provides a method of  
preventing recurrence of epithelial cell cancer in a  
subject which comprises vaccinating the subject with a  
compound which contains a determinant having the  
structure:

(a)



and (b)

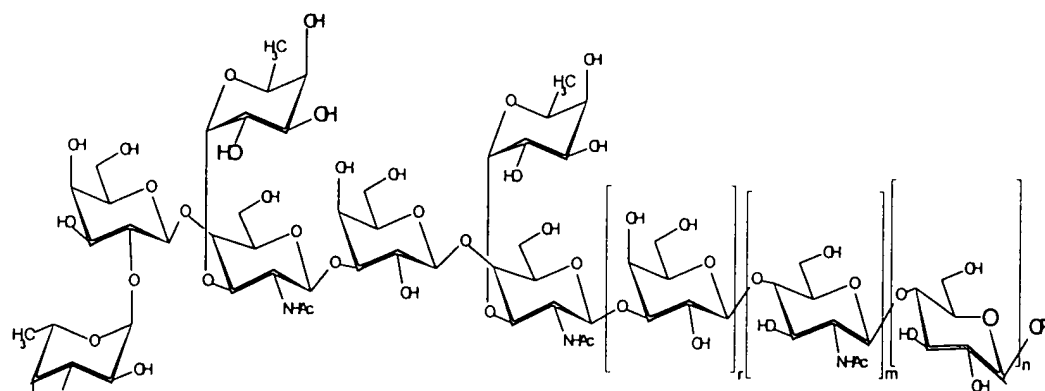


which amount is effective to induce the antibodies. The invention encompasses the method wherein the compound is bound to a suitable carrier protein. The method specifically encompasses use of any effective carrier protein including bovine serum albumin, polylysine or KLH. In addition, the method may further comprises co-administering an immunological adjuvant. The adjuvant may be bacteria or liposomes. In particular, the adjuvant may be *Salmonella minnesota* cells, bacille Calmette-Guerin or QS21.

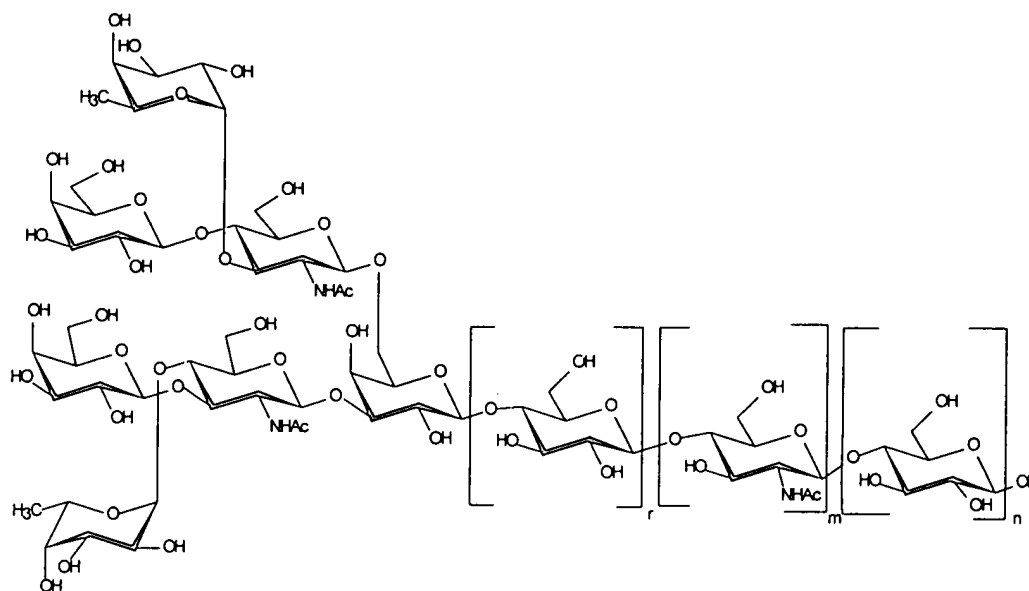
The method may carried out using a compound selected from the group consisting of:

(a)

5



and (b)

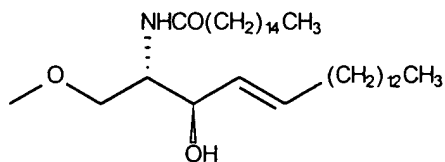


10

wherein R is H, substituted or unsubstituted alkyl, aryl or allyl, or an amino acyl moiety, an amino acyl residue of a peptide, an amino acyl residue of a protein, which amino acyl moiety or residue bears an  $\omega$ -amino group or an  $\omega$ -(C=O)- group, which group is linked to O via a polymethylene chain having the structure  $-(CH_2)_s-$ , where

15

5 s is an integer between about 1 and about 9, or a moiety having the structure:



10

and wherein r, m and n are independently 0, 1, 2 or 3.

The processes of the present invention for preparing KH-1 and N3 antigens and analogues thereof and intermediates thereto encompass the use of various alternate protecting groups known in the art. Those protecting groups used in the disclosure including the Examples below are merely illustrative. One of ordinary skill would understand how to substitute equivalent protecting groups for those illustrated.

20

The subject invention also provides pharmaceutical compositions for treating cancer comprising any of the analogues of KH-1 or N3 antigens as disclosed herein, optionally in combination with a pharmaceutically suitable carrier.

25

The subject invention further provides a method of treating cancer in a subject suffering therefrom comprising administering to the subject a therapeutically effective amount of any of the analogues of KH-1 or N3 antigens disclosed herein and a pharmaceutically suitable carrier.

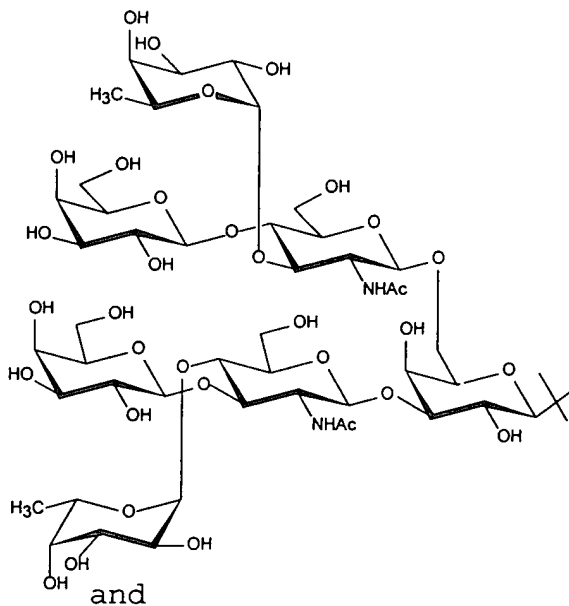
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5

The invention provides a method of preventing recurrence of an epithelial cell cancer in a subject which comprises vaccinating the subject with a compound which contains a determinant having the structure:

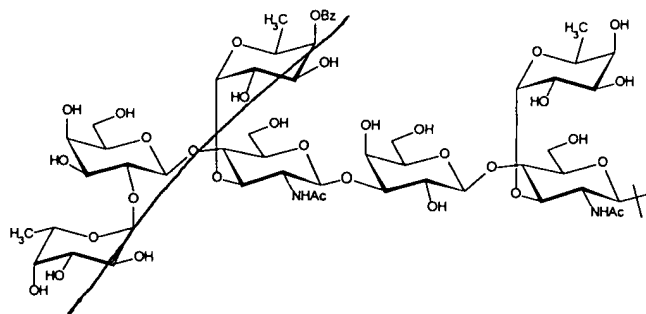
(a)

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(b)

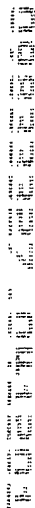
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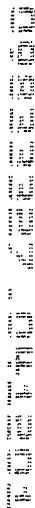
20

which amount is effective to prevent recurrence of an epithelial cell cancer.

The invention provides a composition comprising a compound which contains a determinant having a structure selected from the group consisting of:

[illegible][illegible][illegible]

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
0	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

[illegible]



5

The invention also provides the composition wherein the compound is bound to a suitable carrier protein, said compound being bound either directly or by a cross-linker selected from the group consisting of a succinimide and an  $M_2$  linker. The composition is also provided wherein the carrier protein is bovine serum albumin, polylysine or KLH. In particular, the composition is characterized wherein the compound contains a KH-1 or N3 epitope.

10

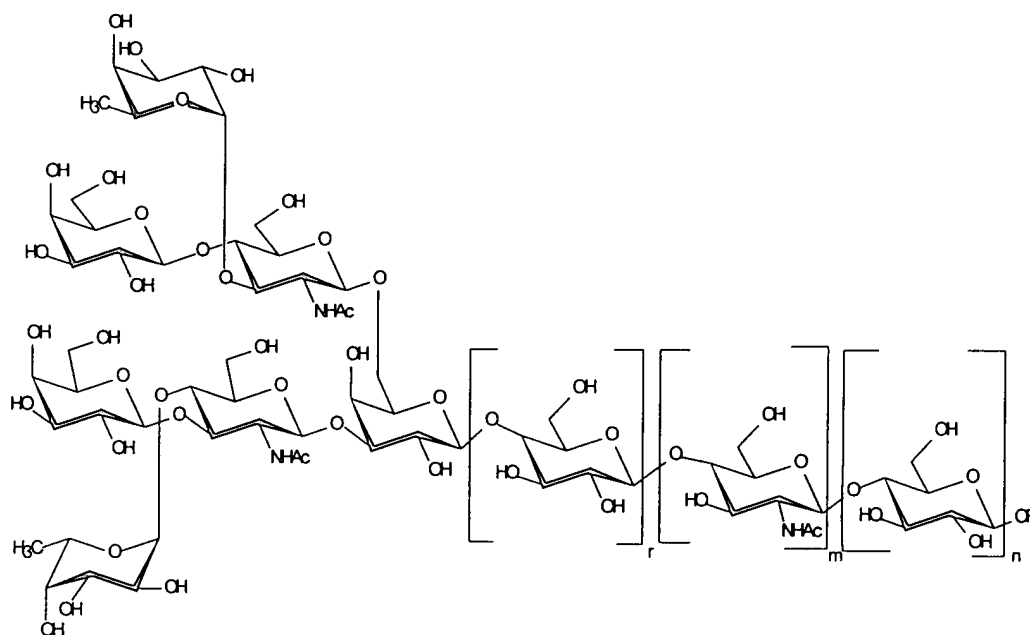
Additionally, the composition is provided wherein the immunological adjuvant is bacteria or liposomes. The adjuvant may be *Salmonella minnesota* cells, bacille Calmette-Guerin or QS21.

15

Favorably, the composition is provided wherein the compound has the structure:

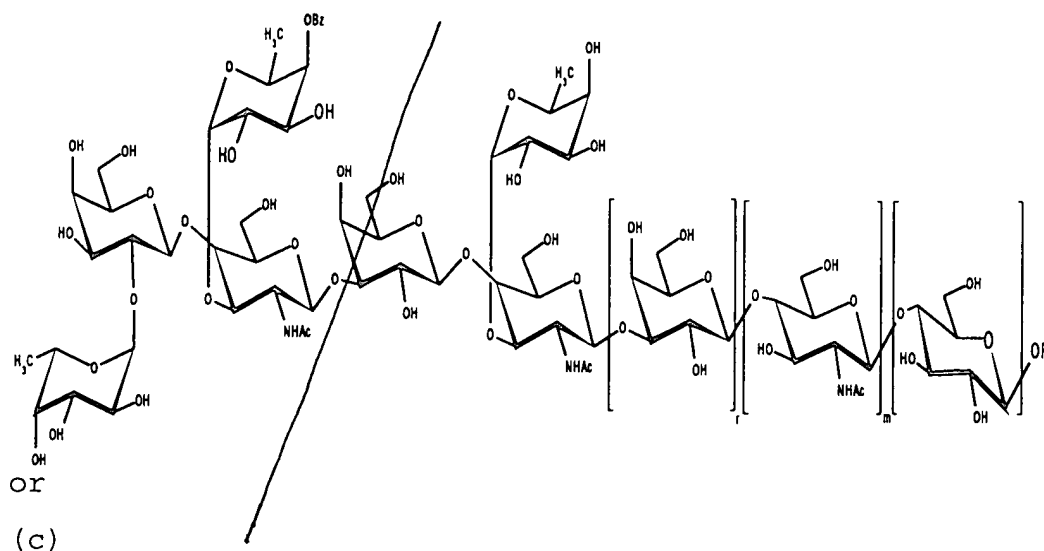
(a)

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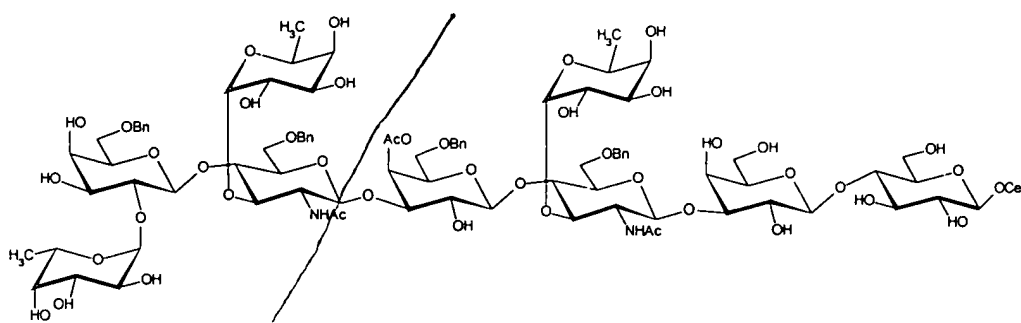


(b)

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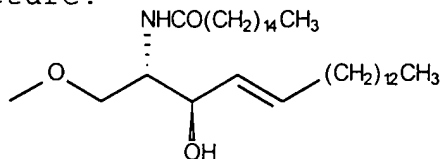


15



wherein R is H, substituted or unsubstituted alkyl, aryl or allyl, or an amino acyl moiety, an amino acyl residue of a peptide, an amino acyl residue of a protein, which amino acyl moiety or residue bears an  $\omega$ -amino group or an  $\omega$ -(C=O)- group, which group is linked to O via a polymethylene chain having the structure  $-(CH_2)_s-$ , where s is an integer between about 1 and about 9, or a moiety having the structure:

25



and wherein r, m and n are independently 0, 1, 2 or 3.

### Utilities

10                   The compounds taught above which are related to  
KH-1 and N3 cell-surface antigens are capable of  
preventing recurrence of various types of epithelial  
cancer in a subject, including lung, gastrointestinal,  
15                   prostate and colon cancers, and inducing antibodies  
useful as a vaccine in the treatment of such types of  
cancer, both *in vivo* and *in vitro*. Thus, these antigens  
and analogues thereof are useful to treat, prevent or  
ameliorate such cancers in subjects suffering therefrom.

20                   The magnitude of the therapeutic dose of the  
compounds of the invention will vary with the nature and  
severity of the condition to be treated and with the  
particular compound and its route of administration. In  
general, the daily dose range for anticancer activity or  
antibody induction lies in the range of 0.001 to 25 mg/kg  
25                   of body weight in a mammal, preferably 0.001 to 10 mg/kg,  
and most preferably 0.001 to 1.0 mg/kg, in single or  
multiple doses. In unusual cases, it may be necessary to  
administer doses above 25 mg/kg.

30                   Any suitable route of administration may be  
employed for providing a mammal, especially a human, with  
an effective dosage of a compound disclosed herein. For  
example, oral, rectal, topical, parenteral, ocular,  
pulmonary, nasal, etc., routes may be employed. Dosage

5 forms include tablets, troches, dispersions, suspensions, solutions, capsules, creams, ointments, aerosols, etc.

The pharmaceutical compositions of the present invention comprise a compound containing any of the KH-1 and N3 antigens of the subject invention, as an active  
10 ingredient, and may also contain a pharmaceutically acceptable carrier and, optionally, other therapeutically active ingredients.

The compositions include compositions suitable for oral, rectal, topical (including transdermal devices, aerosols, creams, ointments, lotions and dusting  
15 powders), parenteral (including subcutaneous, intramuscular and intravenous), ocular (ophthalmic), pulmonary (nasal or buccal inhalation) or nasal administration. Although the most suitable route in any given case will depend largely on the nature and severity  
20 of the condition being treated and on the nature of the active ingredient. They may be conveniently presented in unit dosage form and prepared by any of the methods well known in the art of pharmacy.

In preparing oral dosage forms, any of the  
25 unusual pharmaceutical media may be used, such as water, glycols, oils, alcohols, flavoring agents, preservatives, coloring agents, and the like in the case of oral liquid preparations (e.g., suspensions, elixers and solutions);  
30 or carriers such as starches, sugars, microcrystalline cellulose, diluents, granulating agents, lubricants, binders, disintegrating agents, etc., in the case of oral solid preparations are preferred over liquid oral

5        preparations such as powders, capsules and tablets. If  
desired, capsules may be coated by standard aqueous or  
non-aqueous techniques. In addition to the dosage forms  
described above, the compounds of the invention may be  
administered by controlled release means and devices.

10        Pharmaceutical compositions of the present  
invention suitable for oral administration may be  
prepared as discrete units such as capsules, cachets or  
tablets each containing a predetermined amount of the  
active ingredient in powder or granular form or as a  
15        solution or suspension in an aqueous or nonaqueous liquid  
or in an oil-in-water or water-in-oil emulsion. Such  
compositions may be prepared by any of the methods known  
in the art of pharmacy. In general compositions are  
prepared by uniformly and intimately admixing the active  
20        ingredient with liquid carriers, finely divided solid  
carriers, or both and then, if necessary, shaping the  
product into the desired form. For example, a tablet may  
be prepared by compression or molding, optionally with  
one or more accessory ingredients. Compressed tablets  
25        may be prepared by compressing in a suitable machine the  
active ingredient in a free-flowing form such as powder  
or granule optionally mixed with a binder, lubricant,  
inert diluent or surface active or dispersing agent.  
Molded tablets may be made by molding in a suitable  
30        machine, a mixture of the powdered compound moistened  
with an inert liquid diluent.

The present invention will be better understood  
from the Experimental Details which follow. However, one

5 skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described in the claims which follow thereafter.

10

#### EXAMPLE 1

15 **6-O-Benzyl-3,4-O-carbonate-galactal (3):** To a solution of 3,4-carbonate-galactal (5.36 g, 34.37 mmol) in dry DMF (50 mL) at 0 °C was added benzyl bromide (12.26 mL, 103.0 mmol), followed by NaH (60% oil dispersion, 1.5 gm. 1.1 eq). The reaction was stirred for 1 hr, diluted with  
20 CHCl<sub>3</sub> (50 mL) and then brine solution (20 mL) was added and stirred for 5 min. The organic layer was separated, dried (MgSO<sub>4</sub>), concentrated, and subjected to chromatographic purification (1:1, Hex:EA) to obtain compound 3 (85%) as a syrup:  $[\alpha]_D^{23} = -92.0$  (c 1.0, CHCl<sub>3</sub>); FTIR (thin film) 3030, 2875, 1797, 1647, 1496, 1453, 1371, 1244, 1164, 1110, 1010, 837, 699 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 3.7-3.9 (m, 2H, H-6), 4.08 (bt, 1H, J = 7.36 Hz, H-5), 4.58 (s, 2H, -CH<sub>2</sub>Ar), 4.90 (d, 1H, J = 7.76 Hz, H-4), 4.93 (bm, 1H, H-3), 5.14 (dd, 1H, J = 3.16 Hz, J = 7.72 Hz, H-2), 6.66 (d, 1H, J = 6.24 Hz, H-1), 7.28-7.45 (m, 5H, Ar-H); <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>) δ 67.97, 68.74, 72.41, 73.14, 73.66, 97.97, 127.77, 127.93, 128.44, 137.18, 149.06, 153.98.

25  
30

6-O-Benzylglucal (3'): To a solution of a glucal (10 g, 68.42 mmol) in a dry DMF (200 mL) was added at -40 °C LHMDS (1.0 M soln in THF, 75.26 mL, 1.1 eq) dropwise, followed by BnBr (8.18 mL, 68.42 mmol). The solution was stirred mechanically for 6 hrs allowing the temperature to rise to 0 °C. At room temperature, a sat'd solution of ammonium chloride (50 mL) was added, followed by EtOAc (200 mL). The organic layer was separated; the aqueous layer was extracted with EtOAc (3 x 50 mL). Combined organic layers were washed with brine (50 mL), water (50 mL), dried with (MgSO<sub>4</sub>), filtered, concentrated and purified by column chromatography (1:1 Hex: EtOAc) to obtain compound 3' as a syrup:  $[\alpha]_D^{23} = +11.0$  (c 1.0, CHCl<sub>3</sub>); FTIR (thin film): 3342, 2871, 1642, 1656, 1231, 1101, 1027, 851, 738 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 3.6-3.85 (m, 5H), 4.06 (d, 1H, J = 4.0 Hz, -OH), 4.11 (bt, 1H, H-3), 4.46 (d, 1H, J = 12.0 Hz, -CH<sub>2</sub>Ar), 4.52 (d, 1H, J = 12.0 Hz, -CH<sub>2</sub>Ar), 4.57 (dd, 1H, J = 1.84 Hz, J = 5.96 Hz, H-2), 6.21 (d, 1H, J = 5.96 Hz, H-1), 7.15-7.35 (m, 5H, Ar-H); <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>) δ 69.06, 69.63, 70.28, 73.427, 76.95, 102.72, 127.29, 127.55, 128.21, 128.24, 137.60, 137.75, 143.86.

5        **6-O-Benzyl-3-O-triethylsilylglucal (4):** To a solution of  
compound 3 (5 g, 21.16 mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (50 mL) was  
added imidazole (1.72 g, 25.39 mmol), DMAP (10 mg). At  
0 °C TESCl ( 3.90 mL, 23.27 mmol) was added dropwise.  
The reaction mixture was stirred for 9 hrs, washed with  
10       water (2 x 10 mL) and brine (10 mL). The organic layer  
was separated and dried (MgSO<sub>4</sub>), concentrated and  
purified by column chromatography (20% EA in hexane) to  
obtain 4 (5.47 mg ,73 %) as a syrup:  $[\alpha]_D^{22} + 44.0$  (c  
1.0, CHCl<sub>3</sub>); FTIR (thin film) 3468, 3030, 2953, 2875,  
15       1644, 1453, 1237, 1086, 871, 737 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz,  
CDCl<sub>3</sub>) δ 0.55 (q, 6H, J = 7.90 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.88 (t,  
9H, J = 7.90 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 2.47 (d, 1H, J = 4.12 Hz,  
-OH), 3.6-3.75 (m, 3H, 2H-6, H-4), 4.13 (bd, 1H, J = 6.4  
Hz, H-3), 4.47 & 4.52 (2d, 2H, J = 12.00 Hz, -CH<sub>2</sub>Ar),  
20       4.55 (dd, 1H, J = 2.24 Hz, J = 6.16 Hz, H-2), 6.21 (d,  
1H, J = 5.96 Hz, H-1), 7.10-7.40 (m, 5H, Ar-H); <sup>13</sup>C NMR  
(400 MHz, CDCl<sub>3</sub>) δ 4.84, 6.66, 69.05, 69.64, 70.56,  
73.47, 76.97, 103.44, 127.59, 127.64, 128.27, 137.78,  
25       143.33.

#### EXAMPLE 4

30       **3,6,6'-Tri-O-benzyl-4',5'-carbonate-lactal (7):** To a  
solution of compound 3 (3.00 gm, 11.43 mmol) in a dry  
CH<sub>2</sub>Cl<sub>2</sub> (20 mL) at 0 °C was added 3,3-dimethyldioxirine  
(300 mL, 0.08 M solution in acetone). The reaction was



5 stirred at 0 °C for 1h. The organic solvent was  
evaporated in a stream of N<sub>2</sub> gas. The residue was dried  
in vacuum for 10 minutes. The resulting anhydro sugar  
was dissolved in a solution of the compound 3,6-  
10 dibenzylglucal (5.29 gm, 17.15 mmol) in a dry THF (30  
mL). At 0 °C a 1.0 M solution of ZnCl<sub>2</sub> in ether (5.71  
mL, 0.5 eq) was added. The reaction was stirred at room  
temperature for 24 h, diluted with EtOAc (50 mL), washed  
with a sat'd solution of NaHCO<sub>3</sub> (2 x 10 mL). The organic  
15 layer was separated, dried (MgSO<sub>4</sub>) and purified by  
chromatography using EA:Hexane (1:1) to obtain compound  
7, 3.3 g (48 %) (60 % wrt recovered SM) as a syrup:  
[α]<sup>22</sup><sub>D</sub> - 38.0 (c 1.0, CHCl<sub>3</sub>); FTIR (thin film) 3437,  
3029, 2871, 1804, 1648, 1453, 1367, 1166, 1097, 1027,  
739, 697 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 Mhz, CDCl<sub>3</sub>) δ 3.55-3.62 (m,  
20 2H), 3.62-3.70 (m, 2H), 3.70-3.78 (m, 2H), 3.95-4.11 (m,  
2H), 3.95-4.11 (m, 2H), 4.17 (dd, 1H, J = 5.36 Hz, J =  
7.04), 4.27 (ddd, 1H, J = 1.12 Hz, J = 1.73 Hz, J = 5.29  
Hz), 4.44 (s, 2H, -CH<sub>2</sub>Ar), 4.77 (dd, 1H, J = 2.48 Hz, J  
= 6.12 Hz, H-2), 6.28 (d, 1H, J = 6.04 Hz, H-1), 7.10-  
25 7.40 (m, 15H, Ar-H); <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>) δ 68.00,  
68.09, 70.55, 70.63, 72.20, 73.58, 73.81, 74.58, 74.82,  
75.26, 76.18, 78.47, 100.17, 101.32, 127.43 (2C), 127.56,  
127.72 (2C), 127.83, 127.90, 128.00 (2C), 128.31(2C),  
128.37 (2C), 128.44 (2C), 137.28, 137.43, 138.29, 144.59,  
30 153.97.

EXAMPLE 5

3,6,6'-Tri-O-benzyl-lactal (10): To a solution of compound 7 (3.00 g, 4.96 mmol) in MeOH (100 mL) was added dropwise a solution of sodium methoxide (1 mL, 25 % by wt in MeOH). The reaction was stirred for 1h, and the solvent was evaporated. The syrup obtained was rapidly purified by column chromatography (2.5 % MeOH in EtOAc) to obtain 2.68 g (91 %) of 10 as syrup:  $[\alpha]_D^{22}$  -14.0 (c 1.0, CHCl<sub>3</sub>); FTIR (thin film) 3415, 3029, 2867, 1647, 1453, 1246, 1068, 735 cm<sup>-1</sup>; <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  3.48-3.56 (m, 2H), 3.62 (dd, 1H, J = 4.80 Hz, J = 8.0 Hz), 3.66-3.78 (m, 3H), 3.91 (d, 1H, J = 4.4 Hz), 3.97 (dd, 1H, J = 4.0 Hz, J = 8.8 Hz), 4.18-4.28 (m, 4H), 4.47 (s, 2H, -CH<sub>2</sub>Ar), 4.52 (d, 1H, J = 8.0 Hz), 5.59 (s, 1H, -CH<sub>2</sub>Ar), 4.57-4.65 (m, 2H, -CH<sub>2</sub>Ar), 4.85 (dd, 1H, J = 2.4 Hz, J = 4.8 Hz, H-2), 6.41 (d, 1H, J = 4.8 Hz, H-1), 7.20 - 7.45 (m, 15H, Ar-H); <sup>13</sup>C NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  67.92, 68.86, 69.19, 69.82, 71.53, 73.35(2C), 73.39, 73.42, 73.87, 76.26, 100.01, 103.30, 127.35, 127.42, 127.59, 127.74, 128.19, 128.29, 137.72, 137.81, 138.52, 144.57.

EXAMPLE 6

30

Monosilylated lactal (6): To a solution of compound 3 (3.00 gm, 11.43 mmol) in a dry CH<sub>2</sub>Cl<sub>2</sub> (20 mL) at 0 °C was added 3,3-dimethyldioxirine (300 mL, 0.08 M solution in

5 acetone). The reaction was stirred at 0 °C for 1h, and  
the organic solvents were evaporated in a N<sub>2</sub> gas stream.  
The residue was dried in vacuum for 10 minutes. The  
10 resulting anhydro sugar was dissolved in a solution of  
compound 4 (6 gm, 9.2 mmol) in a dry THF (30 mL), at 0 °C  
was added a 1.0 M solution of ZnCl<sub>2</sub> in ether (6 g, 0.5  
eq). Reaction was stirred at room temperature for 24 h.  
Diluted with EtOAc (50 mL), washed with sat. solution of  
NaHCO<sub>3</sub> (2 x 10 mL), organic layer was separated, dried  
15 (MgSO<sub>4</sub>) submitted for chromatography EA: Hexane (2:3) to  
obtain compound 6 (4.8 g 66 %) (81 % wrt recovered 7) as  
a syrup:  $[\alpha]_D^{22}$  -25.0 (c 1.0, CHCl<sub>3</sub>); IR (thin film)  
3439, 3030, 2910, 1804, 1725, 1647, 1453, 1371, 1243,  
1074, 847, 741 cm<sup>-1</sup>; 1H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  0.58 (q,  
6H, J = 8.0 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.92 (t, 9H, J = 8.0 Hz, -  
20 SiCH<sub>2</sub>CH<sub>3</sub>), 3.51 (d, 1H, J = 2.8 Hz, -OH), 3.62 (ddd, 1H,  
J = 2.8 Hz, J = 7.2 Hz, J = 7.2 Hz, H-2'), 3.65-3.75 (m,  
3H), 3.85 (m, 1H), 3.93 (dd, 1H, J = 4.92 Hz, J = 11.24  
Hz), 3.99 (bt, 1H, J = 5.32 Hz, J = 6.48 Hz), 4.09 (bm,  
1H), 4.27 (bt, 1H, J = 4.16 Hz), 4.48-4.68 (m, 6H, -  
25 CH<sub>2</sub>Ar), 4.70 (dd, 1H, J = 3.36 Hz, J = 6.16 Hz, H-2),  
4.74 (dd, 1H, J = 1.8 Hz, J = 7.16 Hz, H-4), 6.32 (d, 1H,  
J = 6.04, H-1), 7.2-7.4 (m, 10H, Ar-H); <sup>13</sup>C (500 MHz,  
CDCl<sub>3</sub>)  $\delta$  4.75, 6.67, 65.65, 67.79, 67.93, 70.42, 71.49,  
73.43, 73.58, 74.46, 75.27, 75.42, 78.05, 99.94, 102.61,  
30 127.79, 127.85, 128.14, 128.33, 137.36, 137.53, 143.00,  
153.96.

**Acetylated silyl lactal:** To a solution of compound 6 (3.5 g, 5.50 mmol) in  $\text{CH}_2\text{Cl}_2$  (30 mL) was added pyridine (3 mL),  $\text{Ac}_2\text{O}$  (3 mL) and DMAP (cat). The reaction was stirred overnight, and then diluted with EtOAc (50 mL), washed with a sat'd solution of  $\text{CuSO}_4$  (3 x 10 mL), water (1 x 10 mL),  $\text{NaHCO}_3$  (2 x 10 mL), and brine (1 x 10 mL). The organic layer was separated, dried, and concentrated. The residue was purified by chromatography (1:1, Hex:EA) to obtain 6' in quantitative yield;  $[\alpha]^{23}_D$  -42.0 (*c* 1.0,  $\text{CHCl}_3$ ); IR (film) 2954, 2875, 1809, 1755, 1646, 1454, 1222, 1060, 743  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.58 (q, 6H,  $J = 7.92$  Hz,  $-\text{SiCH}_2\text{CH}_3$ ), 0.92 (t, 9H,  $J = 7.92$  Hz,  $-\text{SiCH}_2\text{CH}_3$ ), 2.06 (s, 3H,  $-\text{COCH}_3$ ), 3.63 (dd, 1H,  $J = 2.92$  Hz,  $J = 10.92$  Hz, H-5), 3.70 (bd, 2H,  $J = 10.52$  Hz, 2H-6), 3.85 (dd, 1H,  $J = 6.08$  Hz,  $J = 10.92$ , H-5'), 3.9-4.0 (m, 2H, 2H-6'), 4.10 - 4.2 (m, 2H), 4.5-4.6 (m, 4H, 2- $\text{CH}_2\text{Ar}$ ), 4.64 (dd, 1H,  $J = 3.96$  Hz, 8.0 Hz, H-3'), 4.71 (dd, 1H,  $J = 4.24$  Hz,  $J = 5.84$  Hz, H-2), 4.84 (dd, 1H,  $J = 1.04$  Hz,  $J = 8.08$  Hz, H-4'), 4.90 (d, 1H,  $J = 4.60$  Hz, H-1'), 4.99 (t, 1H,  $J = 4.20$  Hz, H-4'), 6.30 (d, 1H,  $J = 6.16$  Hz, H-1), 7.15 - 7.40 (m, 10H, Ar-H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$  4.78, 6.73, 20.58, 64.78, 67.94, 67.99, 69.38, 69.50, 73.19, 73.35, 73.79, 73.92, 74.56, 74.86, 96.82, 102.27, 127.60, 127.75, 127.78, 127.93, 128.29, 128.44, 137.35, 138.01, 142.99, 153.27, 168.54.

EXAMPLE 8

**Iodosulfonamide (6'')**: To a solution of compound 6' (2.5 gm, 3.72 mmol) (suspended with 4 A MS (3.00 g)) and benzenesulfonamide (2.92 g, 18.57 mmol) at 0 °C, was added (via cannula) a solution of  $I^+(coll)_2ClO_4^-$  (freshly prepared from  $Ag(coll)ClO_4$  (8.36 g, 18.59 mmol) and  $I_2$  (4.53 g, 18.53 mmol)) in  $CH_2Cl_2$  (40 mL). The reaction mixture was allowed to warm to r.t. and stirred for 1hr. The mixture was filtered through a pad of silica gel. The filtrate was washed with a sat'd solution of  $Na_2S_2O_3$  (3 x 25 mL), followed by a sat'd solution of  $CuSO_4$  (5 x 25 mL), and  $H_2O$  (2 x 10 mL). The organic layer was separated and dried ( $MgSO_4$ ), concentrated and purified by column chromatography (5 % EA in  $CH_2Cl_2$ , in a gradient elution) to obtain 6'', 2.9 g (81 %) as a syrup;  $[\alpha]_D^{23}$  -30.0 (c 1.0,  $CHCl_3$ ); IR (film) 3267, 2954, 1806, 1755, 1495, 1458, 1370, 1342, 1090, 813, 750  $cm^{-1}$ ;  $^1H$  NMR ( $CDCl_3$ )  $\delta$  0.66 (m, 6H, J = 7.8 Hz,  $-SiCH_2CH_3$ ) 0.95 (t, 9H, J = 7.8 Hz,  $-SiCH_2CH_3$ ), 2.04 (s, 3H,  $-COCH_3$ ), 3.44 (dd, 1H, J = 5.56 Hz, J = 10.16 Hz, H-5), 3.55-3.72 (m, 4H), 3.86 (bs, 1H), 4.11 (t, 1H, J = 6.96 Hz), 4.23 (bs, 1H), 4.35 (dd, 1H, J = 2.28 Hz, J = 9.98 Hz), 4.44 & 4.50 (2d, 2H, 11.88 Hz,  $-CH_2Ar$ ), 4.57 (s, 2H,  $-CH_2Ar$ ), 4.70 (bd, 1H, J = 8.32Hz), 4.89 (bs, 1H), 4.95-5.0 (m, 2H), 5.25 (t, 1H, J = 9.64 Hz), 5.60 (d, 1H, J = 9.92 Hz), 7.2-7.5 (m, 13H, Ar-H), 7.88 (d, 2H, J = 7.72 Hz, Ar-H);

5  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$  4.93, 6.95, 20.64, 67.49, 67.86, 68.40, 68.46, 71.91, 72.57, 73.33, 73.94, 75.20, 79.30, 126.39, 127.35, 127.67, 127.85, 127.98, 128.09, 128.36, 128.54, 128.58, 129.10, 132.35, 132.68, 137.14, 137.91, 141.36, 153.60, 168.69.

10

#### EXAMPLE 9

15 **Thiodonor (9):** To a solution of iodosulfonamide 6'' (2.8 g, 2.93 mmol) in dry DMF (40 mL) at  $-40^\circ\text{C}$  was added EtSH (1.08 mL, 14.65 mmol), followed by dropwise addition of a solution of LHMDS (1.0 M solution in THF, 8.80 mL). The reaction mixture was stirred for 1 hr while allowing it to warm up to r.t., and then neutralized with a

20 saturated solution of  $\text{NH}_4\text{Cl}$  (10 mL), and extracted with EtOAc (5 x 20 mL). The organic layer was washed with brine (15 mL), separated, dried ( $\text{MgSO}_4$ ), and concentrated. The resulting residue was acetylated in  $\text{CH}_2\text{Cl}_2$  (50 mL) with pyridine (1.0 mL),  $2^\circ\text{Ac O}$  (1.0 mL) overnight. The organic layer was washed with a sat'd

25 solution of  $\text{CuSO}_4$  (3 x 15 mL), water (1 x 10 mL), and a sat'd solution of  $\text{NaHCO}_3$  (2 x 15 mL). The organic layer was separated, dried ( $\text{MgSO}_4$ ) and concentrated. The residue was purified by chromatography (1:1, Hex: EA) to

30 obtain 9 (2.38 g, 91%) as syrup;  $[\alpha]_D^{23} - 4.0$  ( $c$  1.0,  $\text{CHCl}_3$ ); IR (film) 3316, 2955, 2875, 1815, 1745, 1448, 1371, 1330, 1227, 1092, 897,  $740\text{ cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.51 (q, 6H,  $J = 8.0\text{ Hz}$ ,  $-\text{SiCH}_2\text{CH}_3$ ), 0.88 (t, 9H,  $J =$

5 7.92 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 1.09 (t, 3H, 7.20 Hz, -SCH<sub>2</sub>CH<sub>3</sub>),  
 2.09 (s, 3H, -COCH<sub>3</sub>), 2.44 (m, 2H, -SCH<sub>2</sub>CH<sub>3</sub>), 3.48 (bm,  
 1H, H-2), 3.83-3.70 (m, 7H), 3.89 (bt, 1H), 3.95 (bs,  
 1H), 4.43 (d, 1H, J = 5.44 Hz, H-1), 4.48 (bd, 2H, -  
 CH<sub>2</sub>Ar), 4.53 (d, 1H, J = 6.32 Hz, H-1'), 4.57 (s, 2H, -  
 10 CH<sub>2</sub>Ar), 4.75 (bt, 1H, J = 5.72 Hz, H-2'), 4.84 (bd, 1H,  
 9.88 Hz, -NH<sub>2</sub>SO<sub>2</sub>Ph), 7.20-7.40 & 7.40-7.60 (m, 13H, Ar-  
 H), 7.97 (d, 2H, J = 7.16 Hz, Ar-H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ  
 4.28, 6.65, 14.56, 20.64, 20.89, 56.96, 67.64, 70.49,  
 70.52, 70.57, 71.14, 73.26, 73.72, 73.96, 74.99, 75.02,  
 15 76.88, 82.48, 97.83, 126.21, 127.30, 127.61, 127.78,  
 127.87, 128.29, 128.38, 128.62, 128.94, 132.17, 137.32,  
 137.94, 141.38, 153.27, 170.99.

20

#### EXAMPLE 10

**Disilylated lactal (6''')**: To a solution of lactal 6 (3  
 gm, 4.77 mmol) in dry CH<sub>2</sub>Cl<sub>2</sub> (50 mL) at 0 °C, was added  
 25 Et<sub>3</sub>N (3.34 mL), followed by the dropwise addition of  
 TESOTf (1.61 mL, 7.15 mL). The reaction mixture was  
 stirred for 3 h, and washed with a sat'd solution of  
 NaHCO<sub>3</sub> (2 x 15 mL). The organic layer was separated,  
 dried (MgSO<sub>4</sub>), and concentrated. The residue was  
 30 purified by chromatography (4:1, Hex:EA) to obtain 6'''  
 (3.27 g, 92%) as a syrup; [α]<sub>D</sub><sup>25</sup> -38.0 (c 1.0, CHCl<sub>3</sub>); IR  
 (thin film) δ 3087, 2953, 2875, 1819, 1647, 1647, 1454,  
 1365, 1240, 1101, 854, 739 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400MHz)

5 0.57 & 0.617 (2q, 12H, J = 8.0 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.92 &  
0.94 (2t, 18H, J = 8.0 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 3.5-3.75 (m, 4H),  
3.8-4.0 (m, 3H), 4.05-4.20 (m, 2H), 4.49 (dd, 1H, J =  
4.36 Hz, J = 7.24 Hz), 4.50-4.62 (m, 4H, -CH<sub>2</sub>Ar), 4.64  
10 (d, 1H, J = 5.2 Hz, H-1'), 4.70 (dd, 1H, J = 4.0 Hz, J =  
5.60 Hz, H-4'), 4.76 (bd, 1H, J = 7.5 Hz, H-2), 6.32 (d,  
1H, J = 6.0 Hz, H-1); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 400 MHz) δ 4.56,  
4.79, 6.58, 6.76, 65.24, 67.99, 68.02, 69.48, 71.06,  
73.37, 73.76, 74.24, 74.37, 75.10, 78.21, 99.21, 99.34,  
102.56, 127.63, 127.77, 127.79, 127.88, 128.32, 128.43,  
15 137.53, 138.09, 143.08, 153.87.

20

#### EXAMPLE 11

**Disilylated Iodosulfonamide (6''')**: To a solution of  
lactal 6''' (2.5 g, 3.36 mmol) (suspended with 4 Å MS (3  
g)) and benzenesulfonamide (2.64 g, 3.36 mmol) at 0°C,  
was added a freshly prepared solution of I(sym-coll)<sub>2</sub>ClO<sub>4</sub>  
25 (5eq) in CH<sub>2</sub>Cl<sub>2</sub>. The reaction mixture was stirred at r.t.  
for 1hr, filtered through a pad of silica gel, washed  
with a sat'd solution of Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (3 x 25 mL), CuSO<sub>4</sub> (5 x  
25 mL), and water (2 x 10 mL). The organic layer was  
separated, dried (MgSO<sub>4</sub>), and concentrated. The  
30 resulting residue was purified by chromatography (5% EA  
in CH<sub>2</sub>Cl<sub>2</sub>) to obtain 6'''' (3.20 g, 92%) as a syrup;  
[α]<sub>D</sub><sup>23</sup> -19.0 (c 1.0, CHCl<sub>3</sub>); IR (thin film) 3258, 2953,  
2875, 1806, 1788, 1453, 1331, 1105, 849, 745 cm<sup>-1</sup>; <sup>1</sup>H



5 NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  -0.57 & 0.64 (2q, 12H, J = 8.0 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.90 & 0.95 (2t, 18H, J = 8.0 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 3.39 (bm, 1H, H-2), 3.60 - 3.70 (m, 4H), 3.78-3.83 (bm, 2H), 4.05-4.17 (m, 3H), 4.34 (dd, 1H, J = 2.40 Hz, J = 8.68 Hz), 4.45 & 4.52 (2d, 2H, J = 12.0 Hz, -CH<sub>2</sub>Ar), 4.55 (s, 2H, -CH<sub>2</sub>Ar), 4.68 (d, 1H, J = 2.96 Hz), 4.89 (d, 1H, J = 8.56 Hz), 5.29 (t, 1H, J = 8.36 Hz), 5.47 (d, 1H, J = 9.64 Hz, -NH<sub>2</sub>SO<sub>2</sub>Ph), 7.2-7.5 (m, 13H, Ar-H), 7.89 (d, 2H, J = 7.6 Hz, Ar-H); <sup>13</sup>H NMR (CDCl<sub>3</sub>, 400 MHz)  $\delta$  4.54, 4.94, 6.59, 6.95, 67.94, 68.12, 68.39, 68.63, 73.12, 73.31, 73.36, 73.90, 75.26, 75.33, 76.86, 79.66, 100.04, 127.40, 127.67, 127.76, 127.93, 128.01, 128.36, 128.51, 128.60, 132.39, 137.34, 138.02, 141.31, 154.01.

## EXAMPLE 12

Disilylated thiodoner (8): To a solution of iodosulfonamide 6''' (2.7 g, 2.63 mmol) in dry DMF (40 mL) at -40 °C, was added EtSH (0.584 mL, 7.89 mmol), followed by the dropwise addition of a solution of LHMDS (1.0 M solution in THF, 7.89 mL). The reaction mixture was stirred for 1 hr while allowing it to warm up to r.t., and then neutralized with a saturated solution of NH<sub>4</sub>Cl (10 mL). EtOAc was added (50 mL). The organic layer was washed with brine (5 mL), separated, dried (MgSO<sub>4</sub>), and concentrated. The residue was purified by chromatography

5 (7:3, Hex:EA) to obtain **8** (2.3 g, 91%) as syrup;  $[\alpha]_D^{23}$   
 -64.0 (c 1.0,  $\text{CHCl}_3$ ); IR (thin film) 3314, 2954, 2875,  
 1807, 1453, 1330, 1181, 1104, 739  $\text{cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ,  
 400 MHz)  $\delta$  0.50 (q, 6H,  $J = 7.88$  Hz,  $-\text{SiCH}_2\text{CH}_3$ ), 0.624  
 10 (q, 6H,  $J = 7.6$  Hz,  $-\text{SiCH}_2\text{CH}_3$ ), 0.87 (t, 9H,  $J = 7.88$  Hz,  
 $-\text{SiCH}_2\text{CH}_3$ ), 0.94 (t, 9H,  $J = 7.96$  Hz,  $-\text{SiCH}_2\text{CH}_3$ ), 1.11 (t,  
 3H,  $J = 7.44$  Hz,  $-\text{SCH}_2\text{CH}_3$ ), 2.48 (m, 2H,  $-\text{SCH}_2\text{CH}_3$ ),  
 3.35 (m, 1H, H-2), 3.85-3.68 (m, 6H), 3.86 (bm, 1H), 3.97  
 (bt, 1H), 4.06 (bt, 1H,  $J = 6.56$  Hz), 4.49 (s, 2H,  $-\text{CH}_2\text{Ar}$ ),  
 15 4.57 (s, 2H,  $-\text{CH}_2\text{Ar}$ ), 4.55 (m, 1H), 4.61 (d, 1H,  
 $J = 6.28$  Hz, H-1), 4.67 (d, 1H,  $J = 4.0$  Hz, H'-4),  
 4.87 (d, 1H,  $J = 8.84$  Hz, H-1), 5.50 (d, 1H,  $J = 8.84$  Hz,  
 $-\text{NHSO}_2\text{Ph}$ ), 7.2-7.4 (m, 10H, Ar-H), 7.45-7.55 (m, 3H, Ar-  
 H), 7.94 (d, 2H,  $J = 7.2$  Hz, Ar-H);  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ )  $\delta$   
 4.28, 4.45, 6.56, 6.71, 14.58, 25.64, 57.42, 67.82,  
 20 69.05, 69.68, 70.37, 71.80, 73.13, 73.66, 73.75, 76.45,  
 76.64, 77.05, 82.68, 100.94, 127.52, 127.57, 127.79,  
 127.83, 128.22, 128.35, 128.84, 132.25, 137.49, 138.01,  
 140.70.

25

### EXAMPLE 13

**Tetrasaccharide diol (9')**: To a solution of disaccharide  
 10 (100 mg, 0.173 mmol) and thiodonor **9** (308 mg, 0.34  
 30 mmol) in dry  $\text{CH}_2\text{Cl}_2$  (8 mL), suspended with 4 Å MS (1.0 g)  
 was added di-*t*-butylpyridine (0.311 mL, 1.36 mmol),  
 cooled to  $-10^\circ\text{C}$ . Then, MeOTf (0.156 mL, 1.36 mmol) was  
 added. The reaction mixture was stirred for 2 h, then at

5 0 °C for 24 h. After neutralizing with Et<sub>3</sub>N (0.1 ml),  
the mixture was diluted with EtOAc (25 mL), and filtered  
through a pad of silica gel. The filtrate was washed  
with a sat'd solution of NaHCO<sub>3</sub> (2 x10 mL). The organic  
layer was separated, dried (MgSO<sub>4</sub>), and concentrated.  
10 The residue was purified by chromatography to obtain  
tetrasaccharide 9' in 55% as syrup;  $[\alpha]^{23}_D$  -28.0 (c 1.0,  
CHCl<sub>3</sub>); I.R. (film) 3491, 3029, 3874, 1815, 1753, 1647,  
1453, 1370, 1221, 1160, 1064, 738 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>)  
δ 0.38 (q, 6H, J = 7.96 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.76 (t, 9H, J =  
15 7.96 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 1.97 (s, 3H, -COCH<sub>3</sub>), 3.2-3.32 (m,  
2H), 3.35-3.55 (m, 5H), 3.55-3.7 (m, 7H), 3.7-3.8 (m,  
4H), 3.95 (dd, 1H, J = 4.64 Hz, J = 11.28 Hz), 4.0 - 4.12  
(m, 2H), 4.18 (bs, 1H), 4.3-4.65 (m, 15H), 4.7-4.8 (m,  
2H), 4.89 (t, 1H, J = 5.24 Hz), 5.31 (d, 1H, J = 8.4 Hz),  
20 6.32 (d, 1H, J = 6.04 Hz, H-1), 7.1- 7.5 (m, 28H, Ar-H),  
7.85 (d, 2H, J = 7.4 Hz, Ar-H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 4.47,  
6.74, 20.62, 58.45, 67.89, 68.16, 68.91, 69.63, 70.01,  
70.23, 70.70, 70.74, 72.95, 73.26, 73.32, 73.40, 73.43,  
73.79, 74.38, 74.75, 74.81, 75.34, 76.60, 77.19, 82.21,  
25 97.41, 100.41, 102.53, 102.84, 127.30, 127.44, 127.50,  
127.56, 127.59, 127.62, 127.76, 127.82, 127.84, 127.96,  
128.18, 128.24, 128.33, 128.38, 128.46, 128.83, 132.49,  
137.32, 137.89, 138.70, 140.76, 144.51, 153.43, 168.94.

30

#### EXAMPLE 14

5        **Tetrasaccharide pentaol (11):** To a solution of  
tetrasaccharide 9' (370 mg, 0.26 mmol) in MeOH (5 mL) was  
added K<sub>2</sub>CO<sub>3</sub> (370 mg). The reaction mixture was stirred  
for 15 min, diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL), and filtered  
10 through a pad of silica gel, followed by washing with  
EtOAc (100 mL). The filtrates were combined, and  
concentrated to obtain 11 (295 mg, 85%) as a syrup;  
[α]<sup>23</sup><sub>D</sub> -18.0 (c 1.0, CHCl<sub>3</sub>); IR (film) 3469, 3030, 2873,  
1648, 1496, 1452, 1328, 1092, 909, 737 cm<sup>-1</sup>; <sup>1</sup>H NMR  
(CDCl<sub>3</sub>) δ 0.31 (q, 6H, J = 6.38 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 0.70 (t,  
15 9H, J = 6.38 Hz, -SiCH<sub>2</sub>CH<sub>3</sub>), 2.49 (bs, 1H, -OH), 2.82  
(bs, 1H, -OH), 3.16 (m, 1H, -CHNHSO<sub>2</sub>Ph), 3.3-3.6 (m,  
12H), 3.6-3.78 (m, 6H), 3.79 (bs, 2H), 3.8-3.85 (m, 3H),  
3.92 (bd, 1H, J = 4.23 Hz), 4.0 (bt, 1H), 4.05-4.10 (m,  
2H), 4.10-4.25 (m, 3H), 4.30-4.40 (m, 6H), 4.4-4.55 (m,  
20 7H), 4.75 (dd, 1H, J = 2.73 Hz, J = 4.94 Hz), 4.9 (d, 1H,  
J = 4.20 Hz), 6.17 (d, 1H, 6.63 Hz, -HNSO<sub>2</sub>Ph), 6.31 (d,  
1H, J = 4.9 Hz, H-1), 7.0-7.4 (m, 23H, Ar-H), 7.80 (d, 2H,  
J = 6.00 Hz, Ar-H); <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 4.30, 6.72, 57.88,  
68.00, 68.78, 68.84, 69.20, 70.46, 70.86, 71.39, 71.99,  
25 73.04, 73.14, 73.31, 73.40, 73.54, 73.79, 75.72, 76.01,  
76.16, 81.44, 100.15, 101.85, 102.32, 102.60, 127.30,  
127.45, 127.58, 127.62, 127.65, 127.73, 128.17, 128.21,  
128.30, 128.33, 128.90, 132.52, 137.71, 137.87, 137.91,  
138.10, 138.62, 140.18, 144.27.

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#### EXAMPLE 15

**Hexasaccharide tetrol (15')**: To a solution of disaccharide **8** (197 mg, 0.20 mmol) and tetrasaccharide **15** (275 mg, 0.20 mmol) in  $\text{CH}_2\text{Cl}_2:\text{Et}_2\text{O}$  (1:2, 15 mL) (suspended with 4 Å molecular sieves (1.20 g)) and di-*t*-butylpyridine (0.184 mL, 0.80 mmol) at  $-10^\circ\text{C}$  was added MeOTf (0.092 mL, 0.80 mmol). The reaction mixture was stirred for 2 h, allowed to warm up to  $0^\circ\text{C}$ . After stirring for 24 h, the mixture was diluted with EtOAc (15 mL), filtered through a pad of silica gel, and washed with a sat'd solution of  $\text{NaHCO}_3$  (2 x 10 mL). The organic layer was separated, dried ( $\text{MgSO}_4$ ), and concentrated. The residue was purified by chromatography (1:1, Hex:EA) to obtain **15'** (276 mg, 60%) as a syrup;  $[\alpha]_D^{23} -23.0$  (c, 1.0,  $\text{CHCl}_3$ ); I.R. (film) 3490, 3030, 2875, 1807, 1649, 1453, 1330, 1093, 909,  $743\text{ cm}^{-1}$ ;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.25 (m, 6H,  $-\text{SiCH}_2\text{CH}_3$ ), 0.37 (q, 6H,  $J = 7.92\text{ Hz}$ ,  $-\text{SiCH}_2\text{CH}_3$ ), 0.71 (t, 9H,  $J = 7.88\text{ Hz}$ ,  $-\text{SiCH}_2\text{CH}_3$ ), 0.76 (t, 9H,  $J = 7.92\text{ Hz}$ ,  $-\text{SiCH}_2\text{CH}_3$ ), 0.86 (t, 9H,  $J = 7.88\text{ Hz}$ ,  $-\text{SiCH}_2\text{CH}_3$ ), 2.46 (s, 1H,  $-\text{OH}$ ), 2.52 (s, 1H,  $-\text{OH}$ ), 3.15 (m, 1H,  $-\text{CHNHSO}_2\text{Ph}$ ), 3.21 (m, 1H,  $-\text{CHNHSO}_2\text{Ph}$ ), 3.28 (dd, 1H,  $J = 3.04$ ,  $J = 9.24\text{ Hz}$ ), 3.37-3.55 (m, 7H), 3.55-3.79 (m, 14H), 3.82 (bs, 2H), 3.89 (bs, 1H), 3.94-4.11 (m, 4H), 4.18 (bs, 1H), 4.28 (m, 1H), 4.33-4.40 (m, 3H), 4.41 (s, 2H,  $-\text{CH}_2\text{Ar}$ ), 4.44-4.47 (m, 3H), 4.49 (s, 2H,  $-\text{CH}_2\text{Ar}$ ), 4.52 (m, 1H), 4.54 (s, 2H,  $-\text{CH}_2\text{Ar}$ ), 4.55-4.63 (m, 2H), 4.66 (dd, 2H,  $J = 3.88\text{ Hz}$ ,  $J = 6.04\text{ Hz}$ ), 4.74 (dd, 1H,  $J = 2.76\text{ Hz}$ ,  $J = 6.08\text{ Hz}$ ), 5.28 (d, 1H,  $J = 7.52\text{ Hz}$ ,  $-\text{NHSO}_2\text{Ph}$ ), 5.51 (d, 1H,  $J = 8.32\text{ Hz}$ ,  $-\text{NHSO}_2\text{Ph}$ ), 6.32 (d,



5 1.90 (s, 3H, -COCH<sub>3</sub>), 2.08 (s, 2H, -COCH<sub>3</sub>), 2.15 (s, 3H, -COCH<sub>3</sub>), 3.03 (bd, 1H, J = 7.68 Hz, -CHNHSO<sub>2</sub>Ph), 3.2-3.4 (m, 8H), 3.4-3.85 (m, 30H), 3.85-4.2 (m, 8H). 4.20-4.6 (m, 29H), 4.75 (q, 1H, J = 3.12 Hz, 6.0 Hz), 4.8 (bd, 1H, J = 8.2 Hz, 4.88 (d, 1H, J = 3.48 Hz), 5.10 (m, 2H, J = 8.76 Hz), 5.26 (d, 1H, J = 2.52 Hz), 5.33 (d, 1H, J = 8.68 Hz, -NHSO<sub>2</sub>Ph), 5.42 (d, 1H, J = 2.64 Hz), 5.90 (d, 1H, J = 10.84 Hz, -NHSO<sub>2</sub>Ph), 6.31 (d, 1H, 6.0 Hz, H-1), 7.1-7.5 (m, 41H, Ar-H), 7.82 & 7.89 (2bm, 4H, Ar-H); <sup>13</sup>H NMR (CDCl<sub>3</sub>) δ 4.10, 4.14, 4.49, 6.52, 6.60, 6.64, 20.75, 20.81, 20.09, 21.46, 55.97, 56.73, 67.83, 68.41, 68.63, 68.80, 69.35, 69.82, 69.88, 70.12, 70.49, 71.09, 71.20, 71.71, 72.84, 72.95, 73.11, 73.38, 73.53, 73.60, 73.67, 73.74, 73.79, 74.10, 74.33, 74.40, 75.32, 75.78, 75.89, 76.18, 76.77, 77.20, 99.75, 100.15, 100.38, 100.53, 101.55, 102.17, 127.26, 127.34, 127.42, 127.47, 127.52, 127.58, 127.61, 127.62, 127.66, 127.73, 127.73, 127.80, 127.85, 128.14, 128.21, 128.26, 128.39, 128.41, 128.66, 128.99, 131.93, 132.60, 137.47, 137.66, 137.77, 137.92, 138.31, 138.43, 138.77, 139.96, 141.74, 144.48, 154.07, 169.44, 169.60, 169.64, 171.34.

#### EXAMPLE 17

Hexasaccharide triol (13): To a solution of hexasaccharide 12 (175 mg, 0.0725 mmol) in dry THF (5 mL) was added a solution of TBAF (1.0 M in THF): AcOH (0.725 mL, 10 eq). The reaction mixture was stirred at 35°C for 24 h, diluted with EtOAc (10 mL), and washed with a saturated solution of NaHCO<sub>3</sub> (2 x 5 mL). The organic

5 layer was separated, dried ( $\text{MgSO}_4$ ), and concentrated. The residue was purified by chromatography (1:4, Hex:EA) to obtain **13** (143 mg, 93%) as a white glassy substance;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.88, 1.92, 2.01, 2.02 (4s, 3H each, - $\text{COCH}_3$ ), 2.85 (bt, 1H,  $J = 8.24$  Hz, - $\text{CHNHSO}_2\text{Ph}$ ), 3.02 (bq, 1H,  $J = 7.0$  Hz, - $\text{CHNHSO}_2\text{Ph}$ ), 3.20 (dd, 1H,  $J = 7.56$  Hz,  $J = 8.0$  Hz), 3.27 (dd, 2H,  $J = 4.72$  Hz,  $J = 10.00$  Hz), 3.3-3.8 (m, 36H), 3.87 (bs, 2H), 4.03 (bd, 3H), 4.10 (bs, 1H), 4.2-4.65 (m, 33H), 4.66 (d, 1H, 5.1Hz), 4.77 (q, 1H,  $J = 3.2$ Hz), 5.01 (dd, 1H,  $J = 8.32$  Hz,  $J = 9.68$  Hz), 5.12 (dd, 1H,  $J = 8.2$  Hz,  $J = 9.84$  Hz), 5.25 (d, 1H,  $J = 3.16$  Hz), 5.39 (d, 1H,  $J = 3.08$ ), 6.32 (d, 1H,  $J = 6.12$  Hz, H-1), 7.10-7.45 (m, 41H, Ar-H), 7.78 (m, 4H, Ar-H);

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#### EXAMPLE 18

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**Nonasaccharide (15):** To a solution of hexasaccharide **13** (140 mg, 0.067 mmol) and  $\beta$ -flourofucose **14** (241 mg, 0.53 mmol) in dry toluene (10 mL) (suspended with 4 Å molecular sieves) at  $0^\circ\text{C}$ , was added di-*t*-butylpyridine (0.152 mL, 0.67 mmol) followed by a solution of  $\text{Sn}(\text{OTf})_2$  (0.223 mg, 0.53 mmol) in THF (1 mL). The reaction mixture was stirred for 36 h, and then diluted with EtOAc (25 mL), and filtered through a pad of silica gel. The organic layer was washed with a sat'd solution of  $\text{NaHCO}_3$  (2 x 10 mL). The organic layer was separated, dried ( $\text{MgSO}_4$ ), and concentrated. The residue was purified by chromatography (1:1, Hex:EA) to obtain **15** (135 mg, 60%)



5 as a syrup;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.87 (d, 3H,  $J = 6.24$ , -  
CH<sub>3</sub>), ), 0.93 (d, 3H,  $J = 6.32$  Hz, -CH<sub>3</sub>), 1.07 9d, 3H,  $J$   
= 6.36 Hz, -CH<sub>3</sub>), 1.61 9s, 3H, -COCH<sub>3</sub>), 1.80 (s, 3H, -  
COCH<sub>3</sub>), 1.93 (s, 3H, -COCH<sub>3</sub>), 1.98 (s, 3H, -COCH<sub>3</sub>), 3.2-  
3.4 (m, 6H), 3.4-3.9 (m, 27H), 3.9-4.0 (m, 2H), 4.0-4.2  
10 (m, 8H), 4.2-4.65 (m, 34H), 4.65-4.8 (m, 7H), 4.84 (d,  
1H,  $J = 5.3$  Hz), 4.89 (bt, 1H,  $J = 8.4$  Hz), 5.05-5.15 (m,  
2H), 5.28 (bs, 1H), 5.35 (d, 1H,  $J = 2.76$  Hz), 5.40 (bs,  
1H), 5.53 (bs, 3H), 5.65 (d, 1H,  $J = 5.60$  Hz), 6.33 (d, 1H,  
 $J = 6.04$  Hz, H-1), 7.)-7.3 (m, 68H, Ar-H), 7.3-7.45 (m,  
15 9H, Ar-H), 7.45-7.57 (m, 3H), 7.65 (d, 2H,  $J = 7.56$  Hz,  
Ar-H), 7.75 (d, 2H,  $J = 7.48$  Hz, Ar-H), 7.99, 7.96, 7.94  
(3d, 6H,  $J = 7.52$  Hz, Ar-H).

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#### EXAMPLE 19

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**Thioglycoside of Nonasaccharide (16):** To a solution of  
a nonasaccharide 15 (50 mg, 0.0149 mmol) in dry  $\text{CH}_2\text{Cl}_2$  (1  
mL) (suspended with 4 Å molecular sieves (100 mg)), was  
added a solution of dimethyldioxirane in acetone (ca 0.08  
M, 3 mL). The reaction mixture was stirred for 45 min,  
and then solvents were evaporated under a stream of  $\text{N}_2$   
gas. The residue was dried in vacuum (10 min), and then  
dissolved in  $\text{CH}_2\text{Cl}_2$  (1 mL), and after cooling to  $-78^\circ\text{C}$ ,  
was reacted with EtSH (1mL) and TFAA (5  $\mu\text{L}$ ). After 30  
min, the mixture was evaporated under a stream of  $\text{N}_2$  gas,  
and the residue was dried in vaccume. The crude product

5 was dissolved in  $\text{CH}_2\text{Cl}_2$  (1 mL) and then reacted with  
acetic anhydride (0.5 mL) and pyridine (0.5 mL). After  
drying for 24 hrs under reduced pressure, the residue was  
chromatographed (3:2, Hex:EA) to obtain thioglycoside 16  
(60%) as a syrup;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.94 (d, 3H,  $-\text{CH}_3$ ),  
10 1.02 (d, 3H,  $-\text{CH}_3$ ), 1.16 (d, 3H,  $-\text{CH}_3$ ), 1.28 (t, 3H,  $-\text{CH}_3$ ),  
1.93 (s, 3H,  $-\text{COCH}_3$ ), 2.0 (s, 3H,  $-\text{COCH}_3$ ), 2.04 (s,  
3H,  $-\text{COCH}_3$ ), 2.07 (s, 3H,  $-\text{COCH}_3$ ), 2.14 (s, 3H,  $-\text{COCH}_3$ ),  
2.71 (m, 2H,  $-\text{SCH}_2\text{CH}_3$ ), 3.1-4.0 (m, several protons),  
4.1-5.0 (m, several protons), 4.82 (d, 1H), 4.89 (t, 1H),  
15 5.20 (d&m, 2H), 5.35 (d, 1H), 5.45 (d, 1H), 5.50 (bs,  
1H), 5.63 (bs, 2H), 5.74 (m, 1H), 7.0-8.2 (m, 90H, Ar-  
H);

20 EXAMPLE 20

**Sphingosine glycoside (18):** To a solution of  
thioglycoside 16 (30 mg, 0.0086 mmol) and azidohydrin 17  
at 0 °C in dry  $\text{CH}_2\text{Cl}_2$ : Ether (1:2, 1.5 mL) (suspended  
25 with 4 Å molecular sieves (100 mg)) was added MeOTf  
(0.0038 mL, 4 eq). The reaction mixture was allowed to  
warm up to room temperature. After 24 hrs, the mixture  
was diluted with EtOAc (5 mL), filtered through a pad of  
silica gel, and washed with a sat'd solution of  $\text{NaHCO}_3$  (2  
30 x 5 mL). The organic layer was separated, dried ( $\text{MgSO}_4$ ),  
and concentrated. The residue was purified by column  
chromatography (1:1 Hex:EA) to obtain Sphingosine  
glycoside 18 (55%) as a syrup;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.80 (m,

5 9H, 0.85(d, 3H, -CH<sub>3</sub>), 0.93 (d, 3H, -CH<sub>3</sub>), 1.07 (d, 3H,  
-CH<sub>3</sub>), 1.18 (bm, 23H, aliphatic -CH<sub>2</sub>), 1.33 (bs, 2H) 1.5  
(bd, 4H), 1.81 (s, 3H, -COCH<sub>3</sub>), 1.94 (s, 3H, -COCH<sub>3</sub>),  
1.97 (s, 3H, -COCH<sub>3</sub>), 2.0 (s, 6H, -COCH<sub>3</sub>), 3.1-3.7 (m,  
several protons), 3.7-4.1 (m, several protons), 4.2-4.8  
10 (m, several protons), 4.82 (d, 1H), 4.89 (t, 1H), 4.97  
(d, 1H), 5.1 (m, 2H), 5.37 (m, 4H), 5.47 (d, 1H), 5.53  
(bs, 2H), 5.60 (d, 1 H), 5.7 (m, 1H), 7.0-8.1 (m, 95H, Ar-  
H).

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#### EXAMPLE 21

Amide (protected KH-1 antigen) (18'): To a solution of  
azide 18 (15 mg, 0.0039 mmol) in EtOAc (3 mL) was added  
20 Lindlar's catalyst (50 mg) and Palmitic anhydride (10 mg,  
0.020 mmol). The reaction mixture was stirred at room  
temperature under a H<sub>2</sub> atmosphere for 24 h, and then  
filtered through a pad of silica gel, rinsed with EtOAc  
(20 mL), and concentrated. The residue was purified by  
25 chromatography (1:1 EA:Hex) to give amide 18' (85%) as a  
syrup: <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 0.79 (m, 9H, 0.84 (d, 3H, -CH<sub>3</sub>),  
0.92 (d, 3H, -CH<sub>3</sub>), 1.06 (d, 3H, -CH<sub>3</sub>), 1.17 (bm, 45H,  
aliphatic -CH<sub>2</sub>), 1.48 (bs, 9H), 1.77 (s, 3H, -COCH<sub>3</sub>),  
1.90 (s, 3H, -COCH<sub>3</sub>), 1.95 (s, 3H, -COCH<sub>3</sub>), 1.97 (s, 6H,  
-COCH<sub>3</sub>), 3.0-3.9 (m, several protons), 4.0-5.0 (m,  
30 several protons) 5.51 (bs, 1H), 5.2-5.4 (m, 3H), 5.5 (bs,  
1H), 5.6-5.8 (m, 2H); 7.0-8.1 (m, 95H, Ar-H).

**KH-1 antigen (1):** To a solution of liquid ammonia (5 mL) under N<sub>2</sub> at -78 °C was added sodium (18 mg). To the resulting blue solution was added a solution of protected KH-1 derivative **18'** (20 mg, 0.005 mmol) in dry THF (1 mL). After 45 min at -78 °C, the reaction mixture was quenched with absolute MeOH (5 mL). Most of the ammonia was removed in a stream of nitrogen gas. The resulting solution was diluted with MeOH (5 mL) and stirred overnight, and then neutralized with Et<sub>3</sub>N·HCl. After stirring for 15 min, the mixture was dried under nitrogen. The crude product was then suspended in DMF (1.0 mL), THF (1.0 mL), and Et<sub>3</sub>N (1.0 mL) and treated with Ac<sub>2</sub>O (1 mL) and DMAP (cat). After stirring overnight, the reaction mixture was concentrated, passed through a plug of silica gel using EtOAc as an elutant and concentrated. The syrup obtained was dissolved in MeOH (5 mL) and treated with MeONa (5 mg) for 24 h, and then neutralized with Dowex 50-X8. Filtration and concentration gave the KH-1 antigen (70%). An analytical sample was prepared by RP column chromatography, eluting with water-5% methanolic water, followed by lyophilization to obtain **1** as a white powder; <sup>1</sup>H NMR (DMSO) δ 0.95 (m, 3H), 1.1-1.35 (3d, 9H, -CH<sub>3</sub>), 1.38 (bm, multiple protons, aliphatic -CH<sub>2</sub>), 1.5 (m, 9H), 1.85 (s, 6H, NHCOCH<sub>3</sub>), 1.9 (m, 2H), 2.0-2.20 (m, 6H), 3.0-4.0 (m, Multiple protons), 4.1 (q, 1H), 4.17 (d, 1H, H-1), 4.27 (m, 1H), 4.34 (bm, 1H), 4.41 (d, 1H), 4.6

5 (q, 1H), 4.67 (m), 4.75 (t, 2H), 4.88 (d, 2-3H), 4.97 (d, 1H), 5.36 (m, 1H), 5.56 (m, 1H).

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### EXAMPLE 23

Allyl glycoside (2): To a solution of liquid ammonia (5 mL) under N<sub>2</sub> at -78 °C was added sodium (94 mg). To the  
15 resulting blue solution was added a solution of nonasacchride glycal 15 (75 mg, 0.022 mmol) in a dry THF (3 mL). After 45 min at -78 °C, the reaction was quenched with absolute MeOH (5 mL). Most of the ammonia was removed with a stream of nitrogen. The solution was  
20 diluted with MeOH (5 mL), stirred overnight, and neutralized with Dowex 50-X8 (846 mg). The resulting mixture was stirred for 15 min, and filtered. The resins were washed with NH<sub>3</sub>-MeOH sloution (3 x 20 ml). The filtrates were combined , and dried under a stream of  
25 nitrogen gas. The crude product was then suspended in DMF (1.0 mL), THF (1.0 mL), and Et<sub>3</sub>N (1.0 mL) and then treated with Ac<sub>2</sub>O (1 mL) and DMAP (cat). The reaction mixture was stirred for 24 h, concentrated, passed through a plug of silica gel in EtOAc, and again  
30 concentrated. The syrup obtained was dissolved in CH<sub>2</sub>Cl<sub>2</sub>, then treated with dimethyldioxirane solution in acetone (ca. 0.08 M, 5 mL) at 0 °C under N<sub>2</sub>. The mixture was stirred for 45 min, and concentrated under a stream

5 of N<sub>2</sub> gas. The syrup obtained was reacted with allyl  
alcohol (5 mL). After 24 h, excess allyl alcohol was  
evaporated and the crude syrup was dissolved in MeOH and  
treated with MeONa (25% in MeOH, 60  $\mu$ L). After 24 h, the  
mixture was neutralized with Dowex 50-X8, filtered and  
10 concentrated to give allylated nonasaccharide 2 (60%).  
An analytical sample was prepared by RP column  
chromatography, eluting with water-5% methanolic water,  
followed by lyophilization to obtain white powder; <sup>1</sup>H  
NMR (D<sub>2</sub>O)  $\delta$  1.0-1.35 (3d, 9H, -CH<sub>3</sub>), 2.0 (s, 6H, -COCH<sub>3</sub>),  
15 3.3 (bm, 1H, -CHNHAc), 3.4-4.0 (m, multiple protons),  
4.08 (bs, 1H), 4.12 (bs, 1H), 4.22 (m, 1H), 4.42 (t, 2H),  
4.5 (t, 2H), 4.7 (d, 2H), 4.86 (d, 1H), 5.1 (bs, 2H), 5.26  
(bs, 1H), 5.39 (d, 1H) 5.95 (m, 1H, -CHCH=CH<sub>2</sub>).

20 PREPARATION OF HEPTA AND KH-1-KLH CONJUGATES BY DIRECT  
AND CROSS-LINKER METHOD

The allyl glycoside of KH-1 was conjugated to KLH  
(Keyhole Lympet Hemocyanin) protein via two different  
methods. The first was the direct coupling method which  
25 utilized the reductive amination reaction between the  
lysines of KLH protein and the aldehyde moiety obtained  
by ozonolysis of KH-1 allyl glycoside. This method  
typically provides the glycoprotein with around 141  
carbohydrate units (KH-1) per KLH.

30 The other conjugation method utilized a cross linker  
known as M<sub>2</sub>. The same aldehyde of KH-1 antigen utilized  
in direct coupling was further derivatized to a suitable  
conjugatable form containing M<sub>2</sub> linker. Then the

5           resulting compound was coupled to thiolated KLH protein. This crosslinker method was highly efficient, providing the glycoprotein conjugate with around 492 carbohydrate units (KH-1) per KLH. Figures 12 and 13 describe two coupling methods.

10           Groups of mice were immunized with both types of glycoprotein conjugates (KH1-KLH and KH1-M<sub>2</sub>-KLH). An immunological adjuvant QS-21 was co-administered in the immunization. The antibodies thus elicited were assayed by ELISA and FACS method. The cross-linked conjugate  
15 (KH1-M<sub>2</sub>-KLH) showed increased immune response from the mice, though both types of conjugate effectively elicited antibodies. Figure 11 describes an alternative synthesis of KH-1 tetrasaccharide and hexasaccharide.

20           Accordingly, the allyl group in KH-1 or the heptasaccharide disclosed herein was converted to an aldehyde group by ozonolysis and linked to -NH<sub>2</sub> groups of KLH by reductive amination method in the presence of sodium cyanoborohydride as described for globo H. (Ragupathi G, et al., *Angew. Chem. Int. Ed. Engl.* 1997,  
25 36, 125-128.) In the case of the cross-linker method, the aldehyde group obtained through ozonolysis was first reacted with hydrazide of M<sub>2</sub>CH<sub>2</sub> (4-maleimidomethyl) cyclohexane-1-carboxyl hydrazide) and reacted with thiolated KLH as described in Ragupathi G., et al., "A  
30 novel and efficient method for synthetic carbohydrate conjugate vaccine preparation: Synthesis of sialyl Tn-KLH conjugate using an M<sub>2</sub>C<sub>2</sub>H linker arm" *Glycoconjugate J.*, in press. For example, 4 mg of KH-1 allyl glycoside in

5 methanol was stirred at  $-78^{\circ}\text{C}$  in a dry-ice/ethanol bath and ozone gas was passed through the solution for 0 min under vigorous stirring. The excess of ozone was then displaced with nitrogen over a period of 5 min. Methyl sulfide ( $100\ \mu\text{l}$ ) was added and the reaction mixture  
10 stirred at room temperature for 2 hours and distributed equally in two vials. The solvent was removed under a stream of nitrogen. The resulting white solid was used directly in the subsequent conjugation steps.

15 Direct Conjugation of KH-1-aldehyde with KLH:

Two mg KH-1 aldehyde was dissolved in 1 ml of 0.1M phosphate buffered saline (PBS) pH 7.2 and 4 mg of KLH in PBS. Two mg sodium cyanoborohydride was added and the mixture incubated under gentle agitation at  $37^{\circ}\text{C}$  for  
20 48 h. After 16 h, an additional 1.0 mg sodium cyanoborohydride was added and the incubation continued. The unreacted KH-1 aldehyde was removed completely with multiple washes using a Amicon Centriprep with molecular weight cut-off value 30000 dalton, with 6-7 changes of  
25 PBS at  $4^{\circ}\text{C}$ .

Conjugation of KH-1-aldehyde through  $\text{M}_2\text{C}_2\text{H}$  to thiolated KLH:

Preparation of KH-1- $\text{M}_2\text{C}_2\text{H}$

30 Two mg of KH-1-aldehyde was dissolved in 1 ml of 0.1M sodium acetate buffer pH 5.5, and 4 mg of  $\text{M}_2\text{C}_2\text{H}$  in  $100\ \mu\text{l}$  of dimethyl sulfoxide (DMSO) was added. The reaction mixture was incubated at room temperature for 15



5 min with gentle stirring. At the end of 15 min 2 mg of solid sodium cyanoborohydride was added and the incubation continued at room temperature for 2 h. Unreacted  $M_2C_2H$  was removed in a Sephadex G10 column equilibrated previously with 0.1 M sodium phosphate buffer pH 6.0 containing 5 mM EDTA and eluted with the same buffer. The fractions positive for KH-1 by TLC with orcinol were combined.

Addition of sulfhydryl groups to KLH

15 2-Iminothiolane (2 mg) dissolved in thiolation buffer (50 mM triethanolamine, 0.15 M NaCl, 5 mM EDTA, pH 8.0) was added to 4 mg of KLH and incubated with stirring at room temperature for 2 h. Unreacted 2-iminothiolane was removed by Sephadex G15 column equilibrated previously with 0.1 M sodium phosphate buffer pH 7.2 containing 5 mM EDTA and eluted with the same buffer. Fractions positive for KLH with BioRad protein assay dye reagent were combined. A small portion was used to estimate sulfhydryl groups in the thiolated KLH using Ellman's reagents and cysteine as standard. Riddles P.W., et al., *Anal. Biochem.* 1979, 94, 75-81. The KLH was estimated by a dye method using BioRad dye reagent according to the manufacture's instructions.

25  
30 Conjugation of KH-1- $M_2C_2H$  product and thiolated KLH were mixed and adjusted to pH 7.2 with 0.1M sodium phosphate buffer pH 8.0. The reaction mixture was then incubated at room temperature overnight. The content of the KH-1- $M_2C_2H$ -KLH reaction vial was transferred to a

5 Centriprep concentrator 30 (Amicon: molecular cut-off  
30000 Dalton) and unreacted KH-1-M<sub>2</sub>C<sub>2</sub>H was removed  
completely with multiple washes. The conjugate was  
checked by HPTLC for the absence of unreacted KH-1 as  
mentioned above. The epitope ratios of two batches of  
10 conjugate were determined by estimating protein content  
by BioRad dye binding protein assay and carbohydrate by  
a HPAEC-PAD assay. The epitope ratio of hepta-KLH and  
hepta-M<sub>2</sub>-KLH was 112/1 and 197/1 respectively. The  
epitope ratio of KH-1-KLH and KH-1-M<sub>2</sub>-KLH was 141/1 and  
15 492/1, respectively.

Table 1. Antibody Titers by ELISA against KH1-KLH

	KH-1-KLH				
	<u>Pre-serum</u>		<u>10 days post 3rd</u>		
	<u>Group</u>	<u>IgM</u>	<u>IgG</u>	<u>IgM</u>	<u>IgG</u>
20	1.1	0	0	100	0
	1.2	0	0	100	0
	1.3	0	0	100	0
	1.4	100	0	300	0
	1.5	100	0	100	0
25	2.1	0	0	0	0
	2.2	0	0	900	300
	2.3	0	0	300	300
	2.4	0	0	900	900
	2.5	0	0	900	900
	KH-1-M <sub>2</sub> -KLH				
30	2.1	0	0	0	0
	2.2	0	0	900	300
	2.3	0	0	300	300
	2.4	0	0	900	900
	2.5	0	0	900	900

5	2.5	0	0	100	0
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KH-1-M<sub>2</sub>-KLH

	3.1	0	0	2700	24,300
	3.2	0	0	2700	8100
10	3.3	0	0	300	0
	3.4	0	100	2700	2700
	3.5	100	0	8100	900

(0.2ug/well antigen plated)

15

5      Table 2. Cell Surface reactivity of KH-1 antibodies on MCF-7 cells by FACS.

	<u>Group</u>	<u>% of cells positive</u>	
		IgM	IgG
10	KH1-KLH		
	1.1	28.4%	14.1%
	1.2	16.9%	18.8%
	1.3	12.9%	11.0%
	1.4	36%	12.3%
15	1.5	35.56%	30.2%
20	KH1-M2-KLH		
	2.1	30.18%	88.1%
	2.2	36.59%	76.2%
	2.3	18.16%	93.1%
	2.4	47.9%	91.9%
	2.5	20.03%	97.9%
25	Mouse pre sera IgM: 1.72%, Mouse pre IgG 0.76%, Mab BR96.78.17%		

5      Serological Analysis:

10      **ELISA:** Enzyme-linked immunosorbent assays (ELISAs) were performed as described by Livingston, P.O. et al., *Cancer Immunol. Immunother.*, 1989, 29, 179-184, 1989. Serially diluted antiserum was added to wells coated with antigen (0.1  $\mu$ g) and incubated for 1 h at room temperature. Goat anti-mouse IgM or IgG conjugated with alkaline phosphatase served as secondary antibodies. Absorbance was measured at 414 nm. The antibody titer was defined as the highest serum dilution showing an absorbance 0.1 or greater above that of normal mouse sera.

15      Flow Cytometry:

20      Cells from the KH-1-positive breast cancer cell line MCF-7 served as target. Soule, H.D., et al., *J. Natl. Cancer Inst.*, 1973, 51, 1409-1416. Single cell suspensions of  $2 \times 10^5$  cells/tube were washed in PBS with 3% fetal calf serum and 0.01 M  $\text{NaN}_3$  and incubated with 20  $\mu$ l of 1:20 diluted antisera or mAb BR-96 for 30 min on ice.

25      After washing the cells twice with 3% FCS in PBS, 20  $\mu$ l of 1:15 goat anti-mouse IgM or IgG-labeled with fluorescein-isothiocyanate (FITC) was added, mixed and incubated for 30 min. After wash, the positive population and mean fluorescence intensity of stained

30      cells were analyzed by flow cytometry (EPICS Profile II, Coulter, Co., Hialeah, FL). Zhang, S. et al., *Cancer Immunol. Immunother.*, 1995, 40, 88-94.

5      Immune Adherence (IA) Assay:

          The IA assay measures rosetting of human RBC (blood group O) with guinea pig complement on target cells mediated by IgM antibodies, and was performed as described previously. Shiku, H., et al., *J. Exp't Med.*, 10      1976, 144, 873-881. Individual target cells were scored as positive when 50% or more of the cell perimeter was surrounded by indicator cells.

Complement Dependent Cytotoxicity (CDC):

15           Complement dependent cytotoxicity was assayed at a serum dilution of 1:10 with MCF-7 cells by a 4 h europium-release assay. Zhang, S., et al., *Cancer Immunol. Immunother.*, 1995, 40, 88-94. All assays were performed in triplicate. Controls included cells 20      incubated only with culture medium, complement, antisera or mAb BR-96. Spontaneous release was the europium released by target cells incubated with complement alone. Percent cytolysis was calculated according to the formula:

25           Specific Release (%) =  
                                    Experimental release - spontaneous release  
                                    ----- x  
30           100                      Maximum release - spontaneous release

Inhibition Assay:

          Antisera at 1:1500 dilution or mAb BR-96 at 0.1 µg/ml were mixed with various concentrations of 35      structurally related and unrelated carbohydrate antigens.

5 The mixture was incubated at room temperature for 30 min,  
and transferred to an ELISA plate coated with KH-1-  
ceramide. ELISAs were performed as described above.  
Percentage inhibition was calculated as the difference in  
absorbance between the uninhibited and inhibited serum.

10

#### Immunization of Mice

Groups of mice (CB6F1 female; 6 weeks of age)  
obtained from Jackson Laboratory, Bar Harbor, ME, were  
immunized subcutaneously with KH-1-KLH or KH-1-M<sub>2</sub>C<sub>2</sub>H-KLH  
15 containing equivalent to 3 µg KH-1 only (the quantity of  
KLH varied depending on the epitope density) mixed with  
10 µg of immunological adjuvant QS-21, a saponin  
derivative from the bark of the *Quillaja saponaria* Molina  
tree (Aquila, Worcester, MA) at 0, 1 and 2 weeks and  
20 bled 10 days after the third immunization. The presence  
of antibody was assayed by an enzyme linked immunosorbent  
assay (ELISA) as described in Kensil C.R. et al., *J.*  
*Immunol.*, 1993, 146, 431-437, using KH-1 ceramide as  
target antigen. The cell surface reactivity of anti-KH-1  
25 antibodies was tested on KH-1 positive MCF-7 cells by  
flow cytometry assays. The mice vaccinated with KH-1-  
M<sub>2</sub>C<sub>2</sub>H are made the high titer antibody against the  
synthetic KH-1 and the antibodies were reacted strongly  
on the cell's surface that expressed KH-1 antigens.

30

Binding of Monoclonal Antibody BR 96 with synthetic KH-1  
and other Carbohydrate by Dot-blot Immune Stain:

5                    0.5  $\mu$ g KH-1 ceramide and other Le<sup>x</sup> antigen and  
unrelated antigens were spotted on nitrocellulose strips.  
Dot blot Immune staining was performed monoclonal  
antibody BR 96 after blocked with 6% bovine serum albumin  
in PBS for 1 h and incubated with antibody BR 96 (diluted  
10    1:500 in PBS) overnight at room temperature. The strips  
were washed with PBS containing 0.05% Tween 20 and  
incubated with anti-mouse IgG antibody conjugated with  
horseradish peroxidase at 1:200 dilution for 3 h at room  
temperature. Then the strips were washed with PBS-0.05%  
15    Tween 20 and developed with 4-chloro-1-naphtol- $H_2O_2$ . The  
results are summarized in Table 1. The synthetic KH-1  
reacted very strongly when compared with other Le<sup>x</sup> related  
antigens unrelated antigens were failed to react with BR  
96 antibody.

20



**Table 3.** Binding of Monoclonal Antibody Br 96 with KH-1 and other Carbohydrates by Dot-blot.

Carbohydrate	Monoclonal Antibody	
	BR 96 (Le <sup>y</sup> related)	F12 (FucosylGM1)
KH-1 ceramide	very strong (+++)	negative
Le <sup>y</sup> -ceramide	strong (++)	negative
Le <sup>y</sup> -KLH	strong (++)	negative
Globo H ceramide	negative	negative
TF-ceramide	negative	negative
SSEA-ceramide	negative	negative
Le <sup>y</sup> /Le <sup>b</sup> (Ovarian cyst Mucins-Tighe) *	strong (++)	negative
Le <sup>a</sup> /Le <sup>x</sup> (Ovarian cyst mucins-N1) *	weak (+)	negative
Non fucosylated precursor of Lewis*	negative	negative
Le <sup>a</sup> -PAA	negative	negative
Le <sup>x</sup> -PAA	weak (+)	negative
FucGMI	negative	very strong (+++)
GD3	negative	negative

\*extracted from patient tissue

## Discussion

Human tumors are often marked by the presence of unusual carbohydrate structural motifs. Hakomori, S.,

5        *Cancer Res.*, 1985, 45, 2405; Feizi, T., *Cancer Surveys*,  
1985, 4, 245; Lloyd, K.O., *Am. J. Clin. Pathol.*, 1987, 87,  
129; Lloyd, K.O., *Cancer Biol.*, 1991, 2, 421. These  
carbohydrate domains are encountered as cell-surface bound  
glycolipids or glycoproteins. Hakomori, S., *Cancer Cells*,  
10       1991, 3, 461. It would be useful for cancer therapy to  
achieve some level of immune response by vaccinating cancer  
patients with such cell-free carbohydrate domains, obtained  
through total synthesis and suitably bioconjugated. Preliminary  
synthetic studies have been reported. M.T.  
15       Bilodeau, T.K. Park, S. Hu, J.T. Randolph, S.J. Danishefsky,  
P.O. Livingston, and S. Zhang, *J. Am. Chem. Soc.*, 1995, 117,  
7840; T.K. Park, I.J. Park, I.J. Kim, S. Hu, M.T. Bilodeau,  
J.T. Randolph, O. Kwon and S.J. Danishefsky, *J. Am. Chem.*  
*Soc.*, 1996, 118, 11488. In addition, the utility of tumor-  
20       associated carbohydrate antigens is supported by the  
observed establishment of responses to the human cancer  
lines by sera of mice immunized with such antigens. G.  
Ragupathi, et al., *Angewandte Chemie*, In Press.

In conducting this project, the important issue of  
25       "strategy" in oligosacchcaride synthesis is addressed. Of  
course, in this field (as opposed to "conventional" natural  
product synthesis) the basic building blocks which are  
considered to be rather restricted and tend to bear obvious  
homology with readily recognized components of the target  
30       system.

From this perspective a plan was pursued which  
would build a hexasaccharide (cf. structure 13) so  
differentiated in terms of its protecting patterns (see

5            asterisks) as to allow for the unveiling of the three free hydroxyls to serve as  $\alpha$ -fucosylation acceptor sites (see structure 13). In this way, the three immunologically defining  $\alpha$ -fucose units might be introduced in one concurrent synthetic operation.

10            Assembling the hexasaccharide involved a potentially forbidding network of hydroxyl group functionality. Regarding this, advantages were observed in drawing from a few of the basic principles now well appreciated in the logic of glycal assembly. Bilodeau,  
15            M.T.; Danishefsky, S.J., *Angew. Chem. Int. Ed. Engl.*, 1996, 35, 1380.

             Thus, differentiated glycals 4 and 5 are derived from D-glucal by exploiting the reliable reactivity preference of the C<sub>6</sub>, C<sub>3</sub> and C<sub>4</sub> hydroxyls (C<sub>6</sub> > C<sub>3</sub> > C<sub>4</sub>). More-  
20            over, the fashioning of a clean  $\alpha$ -epoxide from galactal derivative 3 is known. Also, known (Halcomb, R.L.; Danishefsky, S.J., *J. Am. Chem. Soc.*, 1989, 111, 6661), is the excellent  $\beta$ -galactosyl donating capacity of such an epoxide. Coupling of this epoxide to 4 and to 5, under  
25            mediation by a simple reagent (anhydrous zinc chloride), gave 6 and 7, respectively. The C<sub>3</sub>' hydroxyl of the lactal derivative 6 was protected as a triethylsilyl derivative. In the resultant structure 8, two of the three sites destined for eventual fucosylation have been distinguished.  
30            In a parallel experiment, compound 6 could be converted by acetylation to its C<sub>3</sub>' acetate, and overall sulfonamido (2 $\alpha$ ) ethanethylation (Griffith, D.A.; Danishefsky, S.J., *J. Am. Chem. Soc.*, 1990, 112, 5811), (1 $\beta$ ) of its glycal linkage

5 (leads to 9 which carries the third eventual fucosylation center at the site of its TES group). Cleavage of the carbonate linkage of 7 generated triol 10. Here, advantage is taken of another well appreciated preference wherein the glycosyl accepting site in such a triol tends to be at its C<sub>3</sub>' hydroxyl acetate (see asterisk). Kameyama, A; Ishida, H.; Kiso, M.; Haegawa, A.J., *Carb. Chem.*, 1991, 5, 337. Coupling of 10 and 9 afforded, after cleavage of its cyclic carbonate and acetate, a pentaol (see structure 11).

15 At this stage, the proposition was pursued in which the 1,2,3 in the terminal ring D, rather than the 1,3 diol in ring B would serve as the pre-lactosamine acceptor site with donor 8. This, in fact proved to be the case. The successful glycosylation was followed by acetylation of the four remaining hydroxyl groups. This sequence led to 12 and thence to 13 as shown.

20 Thus, it was possible to introduce the three  $\alpha$ -L-fucose residues in one step via donor 14 (Danishefsky, S.J.; Gervay, J.; Peterson, J.M.; McDonald, F.E.; Koseki, K.; Oriyama, T.; Griffith, D.A.; Wong, C.-H.; Dumas, D.P., *J. Am. Chem. Soc.*, 1992, 114, 8331), thereby affording a 60% yield of the nonasaccharide. From 15, the sorts of protocols required to reach 1 and 2 were qualitatively well preceded. In the case of 2, the chemistry followed very closely from the methodology developed for the globo-H breast tumor, conjugatable allyl glycoside. M.T. Bilodeau, T.K. Park, S. Hu, J.T. Randolph, S.J. Danishefsky, P.O. Livingston, and S. Zhang, *J. Am. Chem. Soc.*, 1995, 117, 7840; T.K. Park, I.J. Park, I.J. Kim, S. Hu, M.T. Bilodeau,

5 J.T. Randolph, O. Kwon and S.J. Danishefsky, *J. Am. Chem. Soc.*, 1996, 118, 11488. To reach the naturally occurring glycolipid antigen 1, a small but useful variant was introduced wherein the pre-ceramide acceptor 17 was coupled to an anomeric thioethyl donor derived from the glycal epoxide. For a review, see: Fugedi, P.; Garegg, P.J.; Lönn, H.; Norberg, T.; *Glycoconjugate J.*, 1987, 4, 97; Lönn, H., *Carbohydr. Res.*, 1985, 139, (105) 115; Lönn, H., *Carbohydr. Chem.*, 1987, 6, 301.

15 The structures of the final products 1 and 2 were fully substantiated by mass spectroscopy, self consistent nmr analysis, and in the case of 1, correspondence with the available published data. Nudelman *J. Biol. Chem.*, 1986, 261, 11247.

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